PROPOSAL INFORMATION

**GENERAL:**
- Tax ID No. (Entity Identification Number): 16-0743209
- U.S. Congressional District: 25th, New York
- N.Y. Senatorial District: (RC) 55th (MC) 56th
- N.Y. Assembly District: 131st
- N.Y. State Charitable Organization Registration #: 11-41-70
- N.Y. State DOH Animal Care & Use Certificate #: A-050
- NYS Vendor ID number: 1000007489
- U of R Dun & Bradstreet No. (DUNS): 041294109
- (DUNS+4: 041294109-8221)
- Contractor Establishment Code: 89-442-758C
- NRC #: 436
- AAALAC Approval: 04/18/66
- EEO: 08/26/92
- Federal Interagency Committee on Education (FICE): 002894
- Affirmative Action: 10/01/88
- SIC (Standard Industry Codes): 8221
- NAICS Code (colleges): 611310
- R&D: 541710

University Wire Transfer Information: as of 2/13/07
- Payee: University of Rochester
- Payee Address: Research Accounting and Costing Standards
- Payee Address: Brooks Landing Business Center
- Payee Address: 910 Genesee Street, Suite 200
- Payee Address: Rochester, NY 14611-3847
- Payee Contact: Lisa Julian
- Phone: 585 275-6454
- Fax: 585 271-7933
- Bank Name: JP Morgan Chase
- Bank Address: 4 NY Plaza, 15th Floor
- Bank Address: New York, New York 10004
- ACH Phone Contact: Contact ORPA
- Routing Transit Number (ABA): Contact ORPA
- Bank Account Number: Contact ORPA
- Type of Account: Checking

**DHHS:**
- Public Health Service (PHS) Entity No.: 1160743209A1
- NIH Institutional Profile File No.: 7047101
- Human Subjects General Assurance No.: FWAA000000 9386
- Institutional Assurance Date: 9/27/10
- Project Date: Is project specific
- Biosafety Committee (formerly Biohazards): Filed 04/12/79
- Laboratory Animal Assurance No.: D16-00188 (A329201) (reference both numbers)
- Institutional Assurance Date: 5/14/87
- Misconduct in Science: 7047101, 12/06/89 (as amended)
- Organizational Component Code: SMD-01, SON-09, Other-20

**DOD:**
- Office of Naval Research Basic Agreement No.: N00014-79-H-0145
- Disclosure Statement required by CASB: 3/14/97 submitted to ONR
- DoD Activity Code ("Cage"): Primary-03C7
- For DoD Form 1419, UR Code: N91782

**DOE:**
- Basic Research No.: 81.049

**EU:**
- PIC Code: 999867862

**NSF:**
- Submitting Institution Code: 0028944000

**F & A COST RATE (7/1/15-until amended)**

<table>
<thead>
<tr>
<th>Federal (Date of Agreement – 3/29/2017 DHHS)</th>
<th>7/1/15-6/30/16</th>
<th>7/1/16-6/30/17</th>
<th>7/1/17-6/30/18</th>
<th>7/1/19- amended</th>
</tr>
</thead>
<tbody>
<tr>
<td>On campus</td>
<td>53.5%</td>
<td>On campus 53.5%</td>
<td>On campus 54.0%</td>
<td>On campus 54.0%</td>
</tr>
<tr>
<td>Off campus</td>
<td>27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
</tr>
</tbody>
</table>

The off-campus rate applies when the preponderance of the effort (e.g., more than 50%) occurs off-campus.

ORPA
Revised 6/17
**F & A COST RATE**
The following are typical exclusions from F & A (indirect) cost charges:
* Equipment with a useful life of more than one year and a unit cost of more than $1,000.
* The amount of each subcontract above $25,000 (F&A cost applied to the first $25,000 for each project period).
* Hospitalization (patient care costs, both in patient and out patient).
* Tuition charges from the University of Rochester.
* Renovation charges.
* Fully-costed machine shop charges.
* Rental costs of off-site facilities

**Industry Sponsored Clinical Trials  30%**
The following are typical exclusions from F & A (indirect) cost charges:
  *IRB Fees/

**DHHS Cognizant Auditor Address and Defense Contract Audit Agency:**
  Darryl W. Mayes, Deputy Director
  DHHS, Cost Allocation Services
  330 Independence Avenue, S.W.
  Washington, DC 20201, Telephone Number (202-401-2808

**ORPA Address:**
  University of Rochester
  Office of Research and Project Administration
  518 Hylan Building, RC Box 270140
  Rochester, NY 14627
  585 275-4031  FAX: 585 275-9492