GENERAL:

Tax ID No. (Entity Identification Number) 16-0743209
U.S. Congressional District 25th, New York
N.Y. Senatorial District (RC) 55th (MC) 56th
N.Y. Assembly District 131st
N.Y. State Charitable Organization Registration # 11-41-70
N.Y. State DOH Animal Care & Use Certificate # A-050
NYS Vendor ID number 1000007489
U of R Dun & Bradstreet No. (DUNS) 041294109
(DUNS+4: 041294109-8221)
Contractor Establishment Code 89-442-758C
NRC # 436
AAALAC Approval 04/18/96
EEO 08/26/92
Federal Interagency Committee on Education (FICE) 002894
Affirmative Action 10/01/88
SIC (Standard Industry Codes) 8221
NAICS Code (colleges) 611310
R&D 541710
University Wire Transfer Information: as of 2/13/07
Payee: University of Rochester
Payee Address: Research Accounting and Costing Standards
Payee Contact: Lisa Julian
Bank Name: JP Morgan Chase
Bank Address: 4 NY Plaza, 15th Floor
ACH Phone Contact: 800-447-3593
Routing Transit Number (ABA): Contact ORPA
Bank Account Number Contact ORPA
Type of Account: Checking

DHHS:

Public Health Service (PHS) Entity No. 1160743209A1
NIH Institutional Profile File No. 7047101
Human Subjects General Assurance No. FW000000 9386
Institutional Assurance Date 9/27/10
Project Date Is project specific
Biosafety Committee (formerly Biohazards) Filed 04/12/79
Laboratory Animal Assurance No. D16-00188 (A329201) (reference both numbers)
Institutional Assurance Date 5/14/87
Misconduct in Science 7047101, 12/06/89 (as amended)
Organizational Component Code

DOD:

Office of Naval Research Basic Agreement No. N00014-79-H-0145
Disclosure Statement required by CASB 3/14/97 submitted to ONR
DoD Activity Code ("Cage") Primary-03C7Z
For DoD Form 1419, UR Code N91782

DOE:

Basic Research No. 81.049
EU

NSF:

PIC Code 999867862
Submitting Institution Code 0028944000

F & A COST RATE (7/1/15-unti l amended)
Federal (Date of Agreement – 4/18/16 DHHS)

<table>
<thead>
<tr>
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<th>7/1/16-6/30/17</th>
<th>7/1/17-6/30/18</th>
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<tr>
<td>On campus</td>
<td>53.5%</td>
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<tr>
<td>Off campus</td>
<td>27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
</tr>
</tbody>
</table>

The off-campus rate applies when the preponderance of the effort (e.g., more than 50%) occurs off-campus.

ORPA
Revised 3/17
**F & A COST RATE**
The following are typical exclusions from F & A (indirect) cost charges:
* Equipment with a useful life of more than one year and a unit cost of more than $1,000.
* The amount of each subcontract above $25,000 (F&A cost applied to the first $25,000 for each project period).
* Hospitalization (patient care costs, both in patient and out patient).
* Tuition charges from the University of Rochester.
* Renovation charges.
* Fully-costed machine shop charges.
* Rental costs of off-site facilities

**Industry Sponsored Clinical Trials  30%**
The following are typical exclusions from F & A (indirect) cost charges:
* IRB Fees

**DHHS Cognizant Auditor Address and Defense Contract Audit Agency:**
Darryl W. Mayes, Deputy Director
DHHS, Cost Allocation Services
330 Independence Avenue, S.W.
Washington, DC 20201, Telephone Number (202-401-2808

**ORPA Address:**
University of Rochester
Office of Research and Project Administration
518 Hylan Building, RC Box 270140
Rochester, NY 14627
585 275-4031  FAX: 585 275-9492