

University of Rochester

Definition of a Sponsored Program

A sponsored program is identified when any of the following conditions apply. Excluded from this classification are: a) contracts entered into by faculty for scholarly writing and artistic creation; b) a subset of service agreements administered by the School of Medicine and Dentistry in ledger 3 accounts (e.g., some clinical service agreements and professional service agreements); and c) industry-funded conference grants totaling \$10,000 or less.

The proposed work binds the University or the investigator to a specific line of inquiry;

Funding is contingent upon the University's acceptance of a specific commitment, such as the stated level of personnel effort, stated deliverables (i.e., a report, patient case report, device or prototype), or the achievement of specific performance targets or milestones;

There is a line-item budget detailing or limiting expenses by activity function, or project period or by limiting the University's freedom to transfer funds among expenditure categories;

A detailed financial status report or external audit is required;

Any unexpended funds must be returned to the sponsor at the end of the budget period;

The proposed activity involves the use of human subjects, laboratory animals, radioactive materials or isotopes, biological hazards or recombinant DNA;

The proposed agreement with the sponsor provides for the disposition of intangible property (e.g., rights in data, copyrights, patents, licenses, inventions, etc.), which may result from the sponsored activity or for the disposition of tangible property acquired during the project (e.g., equipment, supplies, drugs, materials, etc.).

If none of the described characteristics of a sponsored project are described, such awards may be treated as gifts as noted at the end of this chapter.