**PSC/IR 257**

The Birth of the Modern World

Spring 2017

2-4:40 PM Wednesdays

**Alexander Lee**

**Instructor:**

Email: [alexander.mark.lee@rochester.edu](mailto:alexander.mark.lee@rochester.edu)

Office: Harkness Hall 327

Office Hours:Wednesday, 10-12

**Course Goals:**

This course is designed to give students a background in the causes and consequences of the profound change in political, economic and social changes that have so profoundly altered the world over the past five centuries, and a basic knowledge of both classic and contemporary scholarly accounts of these changes. After describing political and economic conditions in the pre-modern world (part one), it describes how a distinctively “modern” political economy emerged in Western Europe (part two,) how this political economy became pervasive over the rest of the world (part three,) and the long term and continuing consequences of these changes (part four.) The reading list mixes historical and social scientific accounts, and the mixture is designed to allow us to view events in light of wider theorizing, and to examine the historical context in which these theories are situated.

**Grading:**

Class Participation ⎯ 20%

13 Reading Comprehension Quizzes x 5%⎯ 60% [Lowest Score dropped]

Take Home Final ⎯ 20% (0% WI)

Final Paper ⎯(20% WI)

**Course Policies:**

Unexcused late work will be penalized a whole letter grade, and an additional letter grade for every additional 24 hours of lateness. Plagiarism or cheating will be treated seriously and reported to the Board of Academic Honesty.

**Course Requirements:**

*Attendance and Reading:* Students will be graded on their attendance and participation in discussion classes, indicated in individual weeks.

*Quizzes:* Quizzes will be administered in the first thirty minutes of class, and will require students to identify and discuss key concepts from that week’s readings

*Final:* A final exam will ask students to write two short essays on themes covering the whole of the course material.

*Writing Intensive Students:* WI students must write a twenty-page research paper on a topic related to the course material. The topic must be approved orally by the professor before the 8th week of class. The paper itself is due on the last day of class.

*Part I: Introduction*

**January 18th: Introduction**

**January 25th: The World in 1494**

Braudel, Fernand. *Civilization and Capitalism*. Volume I. Trans. Sian Reynolds. Berkeley: U of California Press, 1992 P. 51-92, p.104-158

Bloch, Marc Léopold Benjamin. *Feudal society*. Translated by L. A. Manyon. Chicago: University of Chicago Press, 1963, 1961. Ch. 4-5, 11-13, 18-20.

Boix, Carles. *Political Order and Inequality*. Cambridge University Press, 2015. P.22-55

Kapuchinski, Ryszard. *The Emperor.* New York: Vintage, 1989. Part One.

*Part II: The Creation of the Modern*

**February 1st: The Formation of the State**

Tilly, Charles, *Coercion, capital, and European states*, AD 990-1990. Cambridge, MA : Blackwell, 1992. Chapters One and Two.

Rokkan, Stein. “Dimensions of State Formation.” In Tilly, Charles. (Ed.) *The Formation of National States in Western Europe.* Princeton: Princeton UP, 1975.

Anderson, Perry. *Lineages of the absolutist state.* London : N.L.B., c1974. Ch. I:1, 2:1.

Acharya A, Lee A. “Path Dependence in European Development: Medieval Politics, Conflict, and State-Building.” Working paper, Stanford University; 2016 Feb 4.

**February 8th: The Origins of Social and Economic Institutions**

Weber, Max. “The Protestant Ethic and the Spirit of Capitalism.” [Ch. 1-2]

Marx, Karl, “Wage Labor and Capital.” In *Selected Works.* New York: International Publishers, 1968.

North, Douglas. *Structure and Change in Economic History.* New York: Norton, 1981. Ch. 1-4, 11.

North, Douglass C. and Barry R. Weingast (1989). "Constitutions and Commitment: the

Evolution of Institutions Governing Public Choice in Seventeenth-century England." *The*

*Journal of Economic History*, 49(4): 803-32.

**February 15th: An Economic Take Off?**

Pomeranz, Kenneth. *The great divergence: China, Europe, and the making of the modern world economy*. Princeton University Press, 2009. P. 3-68

Allen, Robert C. *The British industrial revolution in global perspective*. Cambridge: Cambridge University Press, 2009. 1-22, 106-155

Polanyi, Karl. *The Great Transformation: The Political and Economic Origins of Our Time*. Second Edition. Boston: Beacon Press, 2001 [1944.] Ch.3,6.

**February 22nd: Empires, Old and New**

Mann, Michael. *The Sources of Social Power*. Volume 1. Cambridge: Cambridge UP, 1986. Ch.1.

Barkey, Karen. *Empire of difference: The Ottomans in comparative perspective*. Cambridge University Press, 2008. Chapters 1 and 3.

Elliot, J.H. *Imperial Spain 1469-1716.* Second Edition. London: Penguin, 2002. Ch. 5.

Acemoglu, Daron & Simon Johnson & James Robinson. "The Rise of Europe: Atlantic Trade, Institutional Change, and Economic Growth," *American Economic Review*, American Economic Association, vol. 95(3), pages 546-579, June 2005.

*Part III: The Expansion of the Modern*

**March 1st: Colonialism I—Economics**

Lenin, V.I. *Imperialism: The Highest Stage of Capitalism*. London, Penguin 1939. Ch.6-7.

Gallagher, John, and Ronald Robinson. "The imperialism of free trade." *The Economic History Review* 6.1 (1953): 1-15.

Sen, Amartya, *Poverty and Famines : An Essay on Entitlements and Deprivation*, Oxford, Clarendon Press, 1982. Ch.1, 6.

Stanley Engerman and Kenneth Sokoloff. “Factor Endowments, Inequality, and Paths of

Development Among New World Economies.” NBER Working Paper 9259. 2002.

Acemoglu, Daron Simon Johnson, and James Robinson. “Reversal of Fortune:

Geography and Institutions in the Making of the Modern World Income Distribution.” *Quarterly Journal of Economics* 118: 1231-1294. 2002.

Acemoglu, Daron Simon Johnson, and James Robinson. “The Colonial Origins of

Comparative Development: An Empirical Investigation.” *American Economic Review*

91: 1369-1401. 2001.

**March 8th: Colonialism II—Politics and Culture**

Mamdani, Mahmood. *Citizen and Subject: Contemporary Africa and the Legacy of Late Colonialism.* Princeton: Princeton UP, 1996. Ch.2

Furnivall, J.S. *Colonial Policy and Practice:* *A comparative study of Burma and Netherlands India.* New York: New York University Press [1956] Ch. 8.

Laitin, David. *Hegemony and Culture: Politics and Religious Change Among the Yoruba.* Chicago, U of Chicago Press, 1986. Ch.6.

Banerjee, Abhijit and Lakshmi Iyer. 2005. “History, Institutions and Economic Performance: The Legacy of Colonial Land Tenure Systems in India,” *American Economic Review* 95 (4): 1190-1213.

Ferguson, Niall. *Empire: How Britain made the modern world*. Penguin UK, 2012. Introduction

**March 22nd: Nationalism—Internal Colonialism?**

Anderson, Benedict. *Imagined Communities: Reflections on the Origin and Spread of Nationalism*. Second Edition. New York: Verso, 1994. Ch.1,4,5.

Weber, Eugene. *Peasants Into Frenchmen: The Modernization of Rural France, 1870-1914.* Palo Alto: Stanford UP, 1976. Introduction, Ch.1-2, 17-18, 22.

Bates, Robert. "Modernization, Ethnic Competition and the Rationality of Politics.” In Contemporary Africa" in D. Rothchild and V. Olorunsola, eds., *State versus Ethnic Claim : African Policy Dilemmas.* Boulder: Westview Press, 1983.

Hechter, Michael. *Internal Colonialism: The Celtic Fringe in British National Development, 1536-1966.* London: Taylor & Francis, 1975. Introduction, Ch. 2

**March 29th: Economic Development**

Rostow, William. *The Stages of Economic Growth: A Non-communist Manifesto.* Third Edition. Cambridge: Cambridge UP, 2003. Ch.1-4

Gerschenkron, Alexander. *Economic backwardness in historical perspective, a book of essays*, Cambridge, Massachusettes: Belknap Press of Harvard University Press, 1962. Introduction.

Pollard, Sidney *Peaceful Conquest: The Industrialization of Europe, 1760-1970.* Oxford University Press, 1981. P.142-190

Rajun, Raghuram. “The Persistence of Underdevelopment: Constituencies and Competitive Rent Preservation.” *American Economic Review.* 2008.

Anderson, Siwan, Patrick Francois, and Ashok Kotwal. "Clientelism in Indian villages." *The American Economic Review* 105.6 (2015): 1780-1816.

*Part IV: The Experience of Modernization*

**April 5th: The State I—Emerging Leviathan?**

Foucault, Michel. *Discipline and Punish: The Birth of the Prison.* New York: Vintage 1995 [1977.]I:1.

Scott, James. *Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed.* New Haven: Yale University Press, 1999. Ch.1-2.

Brewer, John. *The Sinews of Power: War, Money and the English State, 1688-1783.* London: Unwin Hyman, 1989. Ch.2-3.

Dincecco, Mark. "The rise of effective states in Europe." *The Journal of Economic History* 75.03 (2015): 901-918.

**April 12th: Democracy**

Moore, Barrington. *The Social origins of dictatorship and democracy; lord and peasant in the making of the modern world*. Boston, Beacon Press [1966] Ch.7-8.

Lipset, Seymour *Political Man.* New York: Doubleday 1963. Ch. 2.

Acemoglu, Daron and James A. Robinson. *Economic Origins of Dictatorship and Democracy.* Cambridge: Cambridge University Press, 2006. Part One.

Acemoglu, D., Johnson, S., Robinson, J.A. and Yared, P., 2008. Income and democracy. *The American Economic Review*, *98*(3), pp.808-842.

Putnam, Robert D., Robert Leonardi, and Raffaella Y. Nanetti. *Making democracy work: Civic traditions in modern Italy*. Princeton university press, 1994. Ch.4.

**April 19th: Society in a time of Change**

Thompson, E.P. *The Making of the English Working Class.* London: Victor Gollancz, 1963. Ch. 7,9, 11

Chang, Leslie. *Factory Girls: From Village to City in a Changing China.* New York: Spiegel and Grau, 2008. Ch.1,3,5.

[Chakravarti, Anand](http://searchworks.stanford.edu/?q=%22Chakravarti%2C+Anand%2C+1941-%22&search_field=search_author)*. Social power and everyday class relations : agrarian transformation in North Bihar.* New Delhi ; Thousand Oaks, Calif. : Sage Publications, 2001. P. 65-77, 149-169.

Ferguson, James, and Larry Lohmann. "The anti-politics machine:'development'and bureaucratic power in Lesotho." *Ecologist (United Kingdom)* (1994).

**April 26th: The State II-Feet of Clay?**

Herbst, Jeffery. *States and Power in Africa: Comparative Lessons in Authority and Control.* Princeton: Princeton UP, 2000. Ch.1, 2,4.

Huntington, Samuel. *Political Order in Changing Societies.* New Haven: Yale UP, 1969. P.1-8, 32-92

Fearon, James and David Laitin. 2003. “Ethnicity, Insurgency, and Civil War.” *American Political Science Review* 97 (1): 75-90.

Migdal, Joel S. *Strong societies and weak states: state-society relations and state capabilities in the Third World*. Princeton University Press, 1988. Introduction to Part 1, chapter 1.