

# HENK (Hein) E. GOEMANS

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<http://www.rochester.edu/college/faculty/hgoemans>

University of Rochester

Department of Political Science

Harkness Hall 337

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## Academic Appointments

*Professor*, University of Rochester. March 2020 –

*Research Associate in the Center for Economic Research*

*Associate Professor*, University of Rochester. July 2010 – March 2020.

*Assistant Professor*, University of Rochester. January 2004 – July 2010.

*Assistant Professor*, Duke University. September 1996 – December 2003; denied tenure.

## Administrative Appointments

*Director*, Peter D. Watson Center for Conflict and Cooperation, May 2017 –

*Chair*, Steering Committee, the executive committee of the faculty of Arts, Science and Engineering, June 2019 – June 2020

*Member*, Steering Committee, June 2018 – June 2019; June 2020 –

*Member*, Faculty Council, *ex officio* June 2019 – 2020, August 2016 – August 2018

## Education

*Ph.D.*, University of Chicago Political Science Department, December 1995.

*M.A.*, School of Advanced International Studies, The Johns Hopkins University. International Economics and Global Theory and History, May 1987. The Bologna Center, Italy, 1985 – 86; Washington D.C., U.S.A., 1986 – 87.

*M.A. & B.A.*, University of Amsterdam, Amsterdam, The Netherlands, 1977 – 86. Doctorandus (M.A.) in International Law, August 1986. Kandidaatsexamen (B.A.) in Law, December 1979.

## Fellowships and Visiting Appointments

Visiting Professor, Columbia University, New York. August 2014 – August 2015

CISAC Fellow, Stanford University, California, Summer 2011.

Edward Teller National Fellow, Hoover Institution, Stanford University, California 2000 – 2001.

National Security Fellow, The Olin Institute, Center for International Affairs, Harvard University, 1995 – 1996.

Research Intern, RAND Corporation, Santa Monica, California, Summer 1994.

## Honors and Prizes

Lijphart/Przeworski/Verba Dataset Award, 2014, sponsored by the American Political Science Association's section on Comparative Politics. *Archigos*: A Data Base on Leaders 1875–2004, co-authored with Giacomo Chiozza and Kristian Skrede Gleditsch.

Joseph S. Lepgold Prize, 2012. Best book in International Relations in 2011, sponsored by Georgetown University's Mortara Center for International Studies. *Leaders and International Conflict*, co-authored with Giacomo Chiozza.

## Research

### Books

*Leaders and International Conflict*. Co-authored with Giacomo Chiozza. New York: Cambridge University Press, 2011.

*War and Punishment: The Causes of War Termination and the First World War*, Princeton, N. J.: Princeton University Press, 2000.

### Articles

"Re-imagi(ni)ng Territorial Disputes." Co-authored with David Carter. *Annual Review of Political Science*, 2024. forthcoming.

"Loss Framing and Risk-Taking in Territorial Disputes." Co-authored with Andi Zhou (Harvard) and Michael Weintraub (Universidad de Los Andes, Bogota). *Journal of Politics*, 2024. Forthcoming.

"Built on Borders: The Institution Liberalism (Thought It) Left Behind." 2021. Co-authored with Beth Simmons. The 75th Anniversary Issue 75(2) of *International Organization*, 387-410.

"Aims, Claims, and the Bargaining Model of War." 2019. Co-authored with Kenneth A. Schultz. *International Theory*. Volume 11 (3) November: 344-374.

"International Trade and Coordination: Tracing Border Effects." 2018. Co-authored with David B. Carter. *World Politics*, 70 (1), January: 1-52.

"The Politics of Territorial Disputes: A Geo-Spatial Approach applied to Africa." 2017. Co-authored with Kenneth A. Schultz. *International Organization*, 71 (1), Winter: 31-64.

"Multi-Method Research: The Case for Formal Theory." 2016. Co-authored with William Spaniel. *Security Studies*, 25 (1), January: 25-33. Multi-Method Symposium special issue.

"Coups and Democracy." 2014. Co-authored with Nikolay Marinov. *British Journal of Political Science*, 44 (4), October: 799-825

"The Temporal Dynamics of New International Borders." 2014. Co-authored with David B. Carter. *Conflict Management and Peace Science*, 31 (3), July: 285-302.

"The Making of the Territorial Order: New Borders and the Emergence of Interstate Conflict." 2011. Co-authored with David B. Carter. *International Organization*, 65 (2), Spring: 275-309

"Regime Type, the Fate of Leaders and War." 2010. Co-authored with Alexandre Debs. *American Political Science Review*, 104 (3), August: 430-445.

"Putin's Peers." 2010 (January). Chapter 7 in Timothy J. Colton, Timothy Frye, and Robert Legvold, eds. *The Policy World Meets Academia: Designing U.S. Policy toward Russia*, <https://www.amacad.org/publication/policy-world-meets-academia-designing-us-policy-toward-russia/section/11>.

"Introducing Archigos: A Data Set of Political Leaders." 2009. Co-authored with Kristian Skrede Gleditsch and Giacomo Chiozza. *Journal of Peace Research* 46 (2) March: 269-283.

“Risky but Rational: War as an Institutionally Induced Gamble.” 2009. Co-authored with Mark Fey. *Journal of Politics*. 71 (1), January: 35-54.

“Which Way Out? The Manner and Consequences of Losing Office.” 2008. *Journal of Conflict Resolution*, 53 (6), December: 771-794.

“International Conflict and the Tenure of Leaders, Is War Still *Ex Post* Inefficient?” 2004. Co-authored with Giacomo Chiozza. *American Journal of Political Science* 48 (3), July: 604–619.

“Avoiding Diversionary Targets.” 2004. Co-authored with Giacomo Chiozza. *Journal of Peace Research*. 41 (4), July: 423–443.

“Peace Through Insecurity: Tenure and International Conflict.” 2003. Co-authored with Giacomo Chiozza. *Journal of Conflict Resolution*, 47 (4), August: 443–467.

“Fighting for Survival: The Fate of Leaders and the Duration of War.” 2000. *Journal of Conflict Resolution*, 44 (5), October: 555–579.

## Book Chapters

“Contentious Issues.” 2021. Co-authored with Paul Hensel. Chapter 1 in the 4th edition of John Vasquez and Sara Mitchell (eds.), *What do we know about war?* Rowman & Littlefield.

“War Termination.” in Robert A. Denemark (ed.), *The International Studies Association Compendium Project*. Blackwell Publishing, Ltd. 2009

“Bounded Communities: Territory, Territorial Attachment and Conflict.” Chapter 2 in *Territoriality and Conflict in an Era of Globalization*, Miles Kahler and Barbara Walter, editors. New York: Cambridge University Press, 2006.

“Do Elites Experience Ambivalence Where Masses Do Not?” Co-authored with R. Michael Alvarez and John Brehm, Chapter 9 in Alvarez and Brehm, *Hard Choices, Easy Answers; values, information and American public opinion*. Princeton, NJ: Princeton University Press. August 2002.

**Public Engagement** The war in Ukraine has made my research and reasoning on war termination highly relevant. I have written some essays (with Branislav Slantchev):

- Foreign Affairs, “The Obstacles to Diplomacy in Ukraine,” co-authored with Branislav L. Slantchev, April 1, 2024. <https://www.foreignaffairs.com/ukraine/obstacles-diplomacy-ukraine>
- The Economist, “How Russia and Ukraine interpret and signal information will determine the course of the war,” co-authored with Branislav L. Slantchev, September 20, 2023. <https://www.economist.com/by-invitation/2023/09/20/how-russia-and-ukraine-interpret-and-signal-information-will-determine-the-course-of-t>
- The Atlantic, “Why Ukraine Shouldn’t Talk to Russia – Yet,” co-authored with Branislav L. Slantchev, May 17, 2023. <https://www.theatlantic.com/ideas/archive/2023/05/russia-ukraine-war-peace-talks-negotiations/674079/>.
- The Monkey Cage, “The prospects for a negotiated peace in Ukraine are bleak,” co-authored with Sarah Croco, Michael Joseph, Alex Weisiger, Thomas M. Dolan and Page Fortna. March 24, 2022. <https://www.washingtonpost.com/politics/2022/03/24/prospects-negotiated-peace-ukraine-are-bleak/>.

## Data Sets

*Archigos*: A Data Base on Leaders. H. E. Goemans, Kristian Skrede Gleditsch and Giacomo Chiozza. Version 4.1, updated to 31 December 2015 and the accompanying case description file – currently almost 900 pages – are available at: <http://www.rochester.edu/college/faculty/hgoemans/data.htm>. Kristian Skrede Gleditsch maintains this page dedicated to the *Archigos* data project: <http://ksgleditsch.com/archigos.html>.

## Working Papers

“Maps to Die For?”, Co-authored with Andi Zhou (Harvard), Joel Selway (BYU), and Michael Weintraub (Universidad de Los Andes, Bogota), March 2024.

“Did British Leaders Mistakenly Think Hitler Had Limited Aims? Re-appraising Munich.” November 2023.

“How Coordination Problems Can Induce ‘Indivisibility’ in Bargaining.” With Mark Fey.

“Bringing Schoolbooks to the Fight: The origins of territorial disputes in Latin America.” Book manuscript.

## Book Reviews

*On Wars*, Michael Mann. Yale University Press, 2023. *H-Diplo*, forthcoming.

*The Cartographic State*. Jordan Branch. Cambridge: Cambridge University Press, 2014. *Journal of Politics*, 78 (4). Published online September 7, 2016. <http://www.journals.uchicago.edu/doi/full/10.1086/688357>

*Peace Time – Cease-Fire Agreements and the Durability of Peace*. Virginia Page Fortna. Princeton, NJ: Princeton University Press, 2005. *Japanese Journal of Political Science*; 6 (1), 137–9.

*What Do We Know About War?* John A. Vasquez (ed.), Lanham, MD: Rowman & Littlefield 2000. *American Political Science Review* 96 No.1 (March) 2002: 273–4.

## Grants

2016. University of Rochester, Retention Research Grant: The Homeland. \$60,000.-

2019. National Science Foundation. URF Award No. AWD00003078: Fighting for the Homeland. \$75,000.-

## Recent Professional Presentations

“Maps to Die For.” Presented at the University of Washington, St. Louis, March 2023; University of Texas at Austin, November 2019; the George Washington University, December 2019; the 2018 Borders and Boundaries Conference, University of Pennsylvania; FLASCO-ISA, Quito, Ecuador, July 27, 2018; University of Pennsylvania, Conference on Borders and Boundaries, May 4, 2017.

## Media

The war in Ukraine has made my research and reasoning on war termination highly relevant. I have written some essays (with Branislav Slantchev):

- Foreign Affairs (04/01/2024): <https://www.foreignaffairs.com/ukraine/obstacles-diplomacy-ukraine>
- The Economist (09/20/2023): <https://www.economist.com/by-invitation/2023/09/20/how-russia-and-ukraine-interpret-and-signal-information-will-determine-the-course-of>
- The Atlantic (05/17/2023): <https://www.theatlantic.com/ideas/archive/2023/05/russia-ukraine-war-peace-talks-negotiations/674079/>.
- The Monkey Cage (03/24/2022): <https://www.washingtonpost.com/politics/2022/03/24/prospects-negotiated-peace-ukraine-are-bleak/>.

I have done interviews on this war by many media outlets all over the world, including in print:

- The New Yorker (<https://www.newyorker.com/culture/annals-of-inquiry/how-the-war-in-ukraine-might-end>).
- The BBC’s The Inquiry (<https://www.bbc.co.uk/sounds/play/w3ct39tm>).
- The University of Rochester’s News Center (<https://www.rochester.edu/newscenter/how-to-end-the-ukraine-war-514522/>).

- Der Spiegel (<https://www.spiegel.de>).
- Die Welt (<https://www.welt.de/Wie-wird-der-Ukraine-Krieg-enden.html>).
- Die Zeit (Fkonfliktforschung-krieg-wladimir-putin).
- Algemeen Dagblad (<https://www.ad.nl/dossier/onrust-in-oekraine~d2cd4c064-3f5c-4b8a-9602->
- Ejaz Haider's InFocus (Pakistan) (<https://www.youtube.com/watch?v=Sd2AbxYx0aw&t=13s>).
- The Financial Times (<https://www.ft.com/content/b3f7ea51-dcc2-4011-b3ac-45faabdc35c7>).

And on television:

- Cable News CBC
- Euronews
- CNN Portugal
- France 24
- TV3 Estonia
- Kabel 1
- CTV News Channel
- TVBS-N Taiwan
- SBS Australia

## Conferences Organized

*Conference on Empirical Tests of International Relations Theories.* Duke University, 22-25 January 1998. (With Christopher Gelpi.)

*New Faces in International Security.* Triangle Institute for Security Studies, 22-23 September 2000; 28-29 September 2001; 27-28 September 2002; 12-3 September 2003. The Annual New Faces Conference invites between eight and ten promising students in History, International Relations and Public Policy in their final year in their Ph.D. program and offers them an opportunity to present their work as an "off-Broadway" job talk.

## Courses Taught

Theories of Conflict. (Graduate, with Mark Fey)  
 Field Seminar in International Relations. (Graduate, with Randall Stone)  
 Theory and History of International Relations. (Graduate)  
 War and the Nation State. (Graduate and Undergraduate)  
 Territory and Group Conflict. (Graduate and Undergraduate)  
 Mechanisms of International Politics. (Undergraduate)  
 Introduction to International Relations. (Undergraduate)  
 Honors Seminar. (Undergraduate)  
 Designing Political Research. (Graduate)

**Reviewer**

American Political Science Review  
American Journal of Political Science  
Journal of Politics  
World Politics  
International Organization  
International Security  
International Studies Quarterly  
Journal of Conflict Resolution  
Journal of Peace Research  
Journal of Military History  
National Science Foundation  
Public Choice  
Cambridge University Press  
Harvard University Press  
Michigan University Press  
Princeton University Press

**Other Professional Activities**

2015 Program Chair, International Security Section, 74<sup>th</sup> Annual MPSA Conference  
2005 Program Chair, International Security Division, American Political Science Association