

**Political Science 372/572: Field Seminar in International Relations
Tuesday 14:00-16:40, Fenno Room (Harkness 329)**

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Purpose of the course: This is an advanced course intended to prepare Ph.D. students for comprehensive exams in international relations. The course conducts a broad survey of major works in the field and current research into the causes of international conflict and cooperation.

Course Requirements: This is a seminar with a heavy reading load, and it is essential to complete the required reading. Students are expected to write short (no more than 2-page) papers critiquing the assigned readings for each week, due Monday at noon. Students have the option of skipping one paper; alternatively, the lowest grade will be dropped. There will be a 24-hour take-home final exam, due Friday, April 29 at 5:00 pm. Grading will be as follows: 1/3 class participation, 1/3 the average of the papers, and 1/3 final.

Readings: The papers will be in folders in the cubby hole on the third floor, if they aren't available through JSTOR. I recommend purchasing the following books at Amazon.com (new or used) or Alibris.com (used).

Waltz, Kenneth. *A Theory of International Politics*. (Reading, Mass.: Addison-Wesley, 1979).

Baldwin, David A., ed. *Neorealism and Neoliberalism: The Contemporary Debate*. (New York: Columbia Univ. Press, 1993).

Wendt, Alexander. *Social Theory of International Politics*. Cambridge: Cambridge University Press, 1999.

Bueno de Mesquita, Bruce, and David Lalman. *War and Reason: Domestic and International Imperatives*. (New Haven: Yale University Press, 1992).

Keohane, Robert O. *After Hegemony: Cooperation and Discord in the World Political Economy*. (Princeton: Princeton Univ. Press, 1984).

Powell, Robert. *In the Shadow of Power: States and Strategies in International Politics*. (Princeton: Princeton University Press, 1999).

Richardson, Louise. *What do Terrorists Want: Understanding the Enemy, Containing the Threat*. New York: Random House, 2006

Risse-Kappen, Thomas. *Cooperation Among Democracies: The European Influence on U.S. Foreign Policy*. Princeton: Princeton Univ. Press, 1995.

Russett, Bruce, and John Oneal. *Triangulating Peace: Democracy, Interdependence, and International Organizations*. (New York: Norton, 2001).

Schelling, Thomas. *The Strategy of Conflict*. (Cambridge: Harvard Univ. Press, 1960).

Schultz, Kenneth. *Democracy and Coercive Diplomacy*. Cambridge: Cambridge University Press, 2001.

Course Outline:

Week One

Tuesday, Jan. 23: Orientation and Overview

Week Two

Tuesday, Jan. 30: Classical Realism in International Relations

Thucydides. *The Peloponnesian War*. (New York: Modern Library ed., 1982). Book I, vs. 24-146 (pp. 14-86).

Macchiavelli, Niccolo. *The Prince*. Chpts. 15-21 (pp. 56-84.)

Morgenthau, Hans J. *Politics Among Nations: The Struggle for Power and Peace*. (New York: Knopf, 1948, Fourth Edition, 1967). Chpts. 1-3, 10-15, 21, 27 (pp. 3-35, 145-223, 332-49, 438-56). (Note: Chpt. and page numbers vary with editions.)

Herz, John H. "Idealist Internationalism and the Security Dilemma," *World Politics* (Jan. 1950).

Recommended:

Carr, Edward H. *The Twenty Years' Crisis, 1919-1939*. (London: MacMillan and Co., Ltd., 1946). + *handout of some omitted passages from the first edition*.

Hobbes, Thomas. *The Leviathan*.

Waltz, Kenneth N. *Man, the State and War*. (New York: Columbia Univ. Press, 1954).

Stanley Hoffman: *The State of War; Essays on the Theory and Practice of International Politics*. New York: Frederick A. Praeger, 1965, Chapters 1, 2 and 3.

Ludwig Dehio: *The Precarious Balance; Four Centuries of the European Power Struggle*. New York: Knopf, 1962

Edward Vose Gulick: *Europe's Classical Balance of Power; A case history of the theory and practice of one of the great concepts of European statecraft*. New York: W. W. Norton, 1967

Week Three

Tuesday, Feb. 6: Neorealism

Waltz, Kenneth. *A Theory of International Politics*. (Reading, Mass.: Addison-Wesley, 1979).

Helen Milner, "The Assumption of Anarchy," in Baldwin, ed, *Neorealism and Neoliberalism*.

Gaddis, John Lewis. "The Long Peace: Elements of Stability in the Postwar International System." *International Security* 10, No. 4 (Spring, 1986).

R. Harrison Wagner: "What was Bipolarity?" *International Organization* 47 (1): 77—106, Winter 1993.

Recommended:

Walt, Stephen. *The Origins of Alliances*. (Ithaca: Cornell Univ. Press, 1987), chpts. 1, 2, 5, 8.

Ikenberry, John G. *After Victory*. (Princeton, NJ: Princeton University Press 2000).

Marc Trachtenberg, *A Constructed Peace*. (Princeton, NJ: Princeton University Press 1999).

Week Four

Tuesday, Feb. 13: Imperialism

Lenin, Vladimir Ilich. *Imperialism: The Highest Stage of Capitalism*.

Doyle, Michael. *Empires*. (New York: Cornell Univ. Press, 1986). pp. 19-47, 54-81, 123-61, 232-305.

Gallagher, John, and Ronald Robinson. "The Imperialism of Free Trade." *Economic History Review* 2nd ser., 6, No. 1 (1953): 1-25. Excerpts in Harrison M. Wright, *The "New Imperialism": Analysis of Late Nineteenth-Century Expansion* (Lexington: D. C. Heath, 1976): 134-58.

Gilpin, Robert. *War and Change in World Politics*. (Cambridge: Cambridge Univ. Press, 1981). Chapters 3-6 (pp. 106-210).

Recommended:

Evans, Peter. *Dependent Development: The Alliance of Multinational, State and Local Capital in Brazil*. (Princeton: Princeton Univ. Press, 1979). Chpt. 1, pp. 14-54.

Cohen, Benjamin. *The Question of Imperialism*. (New York: Basic Books, 1973).

Schumpeter, Joseph. *Imperialism and Social Classes; Two Essays*. Meridian Books, 1960

Kahler, Miles. *Decolonization in Britain and France*.

Hobsbawm, E. J. *Industry and Empire: From 1750 to the Present Day*. (New York: Penguin Books, 1968, reprinted 1986).

Week Five

Friday, Feb. 20: Constructivist Approaches

Wendt, Alexander. *Social Theory of International Politics*. Cambridge: Cambridge University Press, 1999, Chapters 1-4, 6.

Risse-Kappen, Thomas. *Cooperation Among Democracies: The European Influence on U.S. Foreign Policy*. Princeton: Princeton Univ. Press, 1995.

Tannenwald, Nina. ["Stigmatizing the Bomb: Origins of the Nuclear Taboo,"](#) *International Security* 29, no. 4 (Spring 2005):5-49.

Recommended:

Legro, Jeffrey. *Cooperation under Fire: Anglo-German Restraint During World War II*. (Ithaca: NY: Cornell University Press) 1995

Legro, Jeffrey. *Rethinking the World: Great Power Strategies and International Order*. (Ithaca: NY: Cornell University Press) 2005

Tannenwald, Nina. *The Nuclear Taboo: The United States and the Non-Use of Nuclear Weapons since 1945*. New York: Cambridge University Press, 2007

Finnemore, Martha. *The Purpose of Intervention: Changing Beliefs about the Use of Force*. (Ithaca: NY: Cornell University Press) 2004.

Week Six

Tuesday, Feb. 27: Complex Interdependence

Keohane, Robert and Joseph Nye. *Power and Interdependence: World Politics in Transition*. (Boston: Little, Brown and Co., 1977, 2nd. ed., 1989). Parts I and V (pp. 3-60, 245-82).

Hirschman, Albert O. *Exit, Voice, and Loyalty: Responses to Decline in Firms, Organizations, and States*. Cambridge: Harvard University Press, 1970). Chpts. 1-5 (pp. 1-61).

Moravcsik, Andrew. "Taking Preferences Seriously: A Liberal Theory of International Politics." *International Organization* 51 (4) (1997): 513-54.

Gourevitch, Peter. "The Second Image Reversed: The International Sources of Domestic Politics." *International Organization* 32 (Autumn 1978): 881-912.

Week Seven

Tuesday, March 6: Institutional Liberalism

Baldwin, David A., ed. *Neorealism and Neoliberalism: The Contemporary Debate*. (New York: Columbia Univ. Press, 1993). Chpts. 1-8.

Keohane, Robert O. *After Hegemony: Cooperation and Discord in the World Political Economy*. (Princeton: Princeton Univ. Press, 1984). Chpts. 1-2, 4-7 (pp. 5-30, 49-132).

Recommended:

Axelrod, Robert. *The Evolution of Cooperation*. (New York: Basic Books, 1984).

Taylor, Michael. *The Possibility of Cooperation*. (Cambridge: Cambridge Univ. Press, 1987).

Bull, Hedley. *The Anarchical Society: A Study of Order in World Politics*. (New York: Columbia Univ. Press, 1977). Chpts. 1-3 (pp. 3-76).

Baldwin, David. "Power Analysis and World Politics: New Trends Versus Old Tendencies." *World Politics* 31 (January, 1979), pp. 471-506.

Krasner, Stephen, ed. *International Regimes*. (Ithaca: Cornell, 1983).

Inis L. Claude, Jr. *Words into Plowshares; The Problems and Progress of International Organization*. New York, Random House, 3rd revised edition.

*Tuesday, Mar. 13: **SPRING BREAK** No class*

Week Eight

Tuesday, Mar 20: The Democratic Peace

Doyle, Michael. "Kant, Liberal Legacies, and Foreign Affairs, Part I." *Philosophy and Public Affairs* 12, No. 3 (Summer 1983): 205-35.

Kant, Immanuel. "Perpetual Peace, a Philosophical Sketch." In *Perpetual Peace and Other Essays on Politics, History and Morals*. Trans. Ted Humphrey. (Indianapolis: Hackett Publishing Co., 1983.)

Green, Donald, et. al. "Dirty Pool," *International Organization* 55 (2) (Spring 2001): 441-68.

Beck, Nathaniel, and Jonathan Katz. "Throwing Out the Baby with the Bath Water: A Comment on Green, Kim and Yoon." *International Organization* 55 (2) (Spring 2001): 487-96.

Russett, Bruce, and John Oneal. *Triangulating Peace: Democracy, Interdependence, and International Organizations*. (New York: Norton, 2001).

Slantchev, Branislav L., Anna Alexandrova, Erik Gartzke. "Probabilistic Causality, Selection Bias, and the Logic of the Democratic Peace." *American Political Science Review* Vol. 99, No. 3, pp. 459-62, August, 2005.

Week Nine

Tuesday, Mar. 27: International Bargaining

Schelling, Thomas. *The Strategy of Conflict*. (Cambridge: Harvard Univ. Press, 1960). Chpts. 1-3.

Powell, Robert. "Crisis Bargaining, Escalation, and MAD." *American Political Science Review* 81, No. 3 (September 1987): 717-37.

Powell, Robert. *In the Shadow of Power: States and Strategies in International Politics*. (Princeton: Princeton University Press, 1999).

Lake, David A. "Anarchy, Hierarchy, and the Variety of International Relations." *International Organization* 50 (1), 1996: 1-34.

Recommended:

Stone, Randall. *Satellites and Commissars: Strategy and Conflict in the Politics of Soviet-Bloc Trade*. (Princeton: Princeton Univ. Press, 1996).

Week Ten

Tuesday, April 3: Deterrence and War

Schelling, *The Strategy of Conflict*, Chpts. 8, 10.

Jervis, Robert. *Perception and Misperception in International Politics*. (Princeton: Princeton University Press, 1976). Part I (pp. 3-113).

Huth, Paul K. "Extended Deterrence and the Outbreak of War." *American Political Science Review* 82: 2 (June 1988): 423-44.

Fearon, James D. "Signaling versus the Balance of Power and Interests: An Empirical test of a Crisis Bargaining Model." *The Journal of Conflict Resolution* 38 (2) (June 1994): 236-69.

Jervis, Robert. "Cooperation under the Security Dilemma." *World Politics* 30, No. 2 (January 1978): 167-214.

Mearsheimer, John J. *Conventional Deterrence*, Ithaca, NY: Cornell University Press; Chaps 1—3.

Levy, Jack S. "Prospect Theory, Rational Choice, and International Relations." *International Studies Quarterly* 41 (1) (March 1997): 87-112.

Recommended:

Powell, Robert. *Nuclear Deterrence Theory: The Search for Credibility*. (Cambridge: Cambridge Univ. Press, 1990).

Bundy, McGeorge. *Danger and Survival*. (New York: Random House, 1989). Esp. pp. 578-611.

Robert Pape. *Bombing to Win: Air Power and Coercion in War*. Ithaca, NY: Cornell University Press, 1996

Betts, Richard. *Nuclear Blackmail and Nuclear Balance*. (Washington, D.C.: Brookings, 1987).

Week Eleven

Tuesday, April 10: Theories of Rational War

Bueno de Mesquita, Bruce, and David Lalman. *War and Reason: Domestic and International Imperatives*. (New Haven: Yale University Press, 1992), Chapters 1-5.

Fearon, James D. "Rationalist Explanations for War." *International Organization* 49, No. 3 (Summer 1995): 379-414.

Slantchev, Branislav L. "The Power to Hurt: Costly Conflict with Completely Informed States." *American Political Science Review*, Vol. 47, No. 1. (March, 2003), pp. 123-135.

Mark Fey & Kris Ramsay: Mechanism Design, University of Rochester, unpublished manuscript.

Week Twelve

Tuesday, Apr. 17: The Leader as the Unit of Analysis

Downs, George W., and David M. Rocke. *Optimal Imperfection? Domestic Uncertainty and Institutions in International Relations*. (Princeton: Princeton Univ. Press, 1995), Chapter 3 (pp. 56-75).

Goemans, Hein. *War and Punishment: The Causes of War Termination and the First World War*, Princeton, N. J.: Princeton University Press, 2000. Chapters 1, 2 and 4.

Goemans, Hein, and Giacomo Chiozza. International Conflict and the Tenure of Leaders: Is War Still *Ex Post* Inefficient?" *American Journal of Political Science*. Volume 48 No. 3 (July) 2004: 604-619.

Fey, Mark, and Hein Goemans. "Risky but Rational: War as an Optimal Gamble." University of Rochester, unpublished manuscript.

Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith, "An Institutional Explanation of the Democratic Peace." *American Political Science Review* 93 (4) (December 1999): 791-808.

Clarke, Kevin, and Randall W. Stone. "Democracy and the Logic of Political Survival." Working paper, Rochester, 2006.

Week Thirteen

Tuesday, Apr. 24: Democratic Politics and International Bargaining

Putnam, Robert D. "Diplomacy and Domestic Politics." *International Organization* 42 (3) (Summer 1988): 427-61.

Downs and Rocke, Chpts. 4, 5 (pp. 76-129).

Schultz, Kenneth. *Democracy and Coercive Diplomacy*. Cambridge: Cambridge University Press, 2001.

Leventoglu, Bahar, and Ahmer Tarar. 2005. "Prenegotiation Public Commitment in Domestic and International Bargaining." *American Political Science Review* 99 (3):419-433.

Recommended:

Milner, Helen V. *Interests, Institutions, and Information: Domestic Politics and International Relations*. (Princeton: Princeton Univ. Press, 1997). Chpts. 1-4 (pp. 3-128).

Tarar, Ahmer. "International Bargaining with Two-Sided Domestic Constraints." *Journal of Conflict Resolution* 45 (3) (June 2001): 320-40.

Week Fourteen

Tuesday, May 1: Terrorism

Richardson, Louise. *What do Terrorists Want: Understanding the Enemy, Containing the Threat*. New York: Random House, 2006

Pape, R. A., "The Strategic Logic of Suicide Terrorism." *American Political Science Review*, 97 (3): 343-361. August, 2003

Bloom, M. M. "Palestinian Suicide Bombing: Public Support, Market Share, and Outbidding." *Political Science Quarterly*, 119 (1): 61-88; Spring 2004

Wintrobe, R. "Extremism, Suicide Terror, and Authoritarianism." *Public Choice* 28 (1-2): 169-195; July 2006

Bueno de Mesquita, Ethan. "The Quality of Terror." *American Journal of Political Science* 49 (3) (July 2005): 515-530.

Recommended

Arce, D.G and Sandler, T. "Counterterrorism – A Game-theoretic Analysis" *Journal of Conflict Resolution* 49 (2): 183-200; April 2005

Robert Pape, *Dying to Win: The Strategic Logic of Suicide Terrorism*, New York: Random House, 2005.

Kydd, A.H. and Walter, B. F. "The Strategies of Terrorism." *International Security* 31 (1): 49; Summer 2006

Friday, May 4, 5:00 pm: Final Exam Due