

SENIOR/KEY PERSON ASSURANCE CERTIFICATION FORM

This form must be completed and submitted along with the PI certification for all proposals to the National Science Foundation (NSF), or the National Aeronautic and Space Administration (NASA).

IORA FP Number: _____

Principal Investigator Name (not required to sign): _____

By signing below, I certify (1) that the information submitted within the application is true, complete and accurate to the best of the my knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties; and (3) that the I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application;(4) that I am aware of federal requirements on lobbying. I am in compliance and have disclosed any lobbying activity; and (5) that I am not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by a federal department or agency.

By signing below, I certify that my annual disclosure of Outside Interests (or subsequent ad hoc disclosures) is complete and accurate and that (1) I do ___ do not __have a financial interest that could affect the design, conduct or reporting of the research described in the funding proposal, and (2) I am ___ am not ___participating in a “malign foreign talent recruitment program.” If you need assistance before certifying as to not participating in a malign foreign talent recruitment program, please review the University’s [Policy on Foreign Talent Recruitment Programs and Malign Foreign Talent Recruitment Programs](#), review the University’s [guidance](#) on these programs, or contact the University’s Research Security Officer (Joe Doyle; joe.doyle@rochester.edu) for consultation. Depending on the applicable funding agency, individuals identified as “covered individuals” (i.e. senior or key personnel) must certify prior to proposal submission, through the Biographical Sketch and Current and Pending (Other) support documents, that they are not a party to a malign foreign talent recruitment program, and must also certify annually thereafter for the duration of the award.

Name	Signature	Project Role	Date