PROPOSAL INFORMATION

GENERAL:
- Tax ID No. (Entity Identification Number): 16-0743209
- U.S. Congressional District: 25th, New York
- N.Y. Senatorial District: (RC) 56th (MC) 61th
- N.Y. Assembly District: (RC) 137th (MC) 138th
- N.Y. State Charitable Organization Registration #: 11-41-70
- N.Y. State DOH Animal Care & Use Certificate #: A-050
- NYS Vendor ID number: 1000007489
- Unique Entity Identifier (UEI): F27KDXZMF9Y8
- U of R Dun & Bradstreet No. (DUNS): 041294109
- Contractor Establishment Code: 89-442-758C
- NRC #: 436
- AAALAC Approval: 04/18/66
- USDA Inspection Date: 07/27/21
- EEO: 08/26/92
- Federal Interagency Committee on Education (FICE): 002894
- Affirmative Action: 10/01/88
- SIC (Standard Industry Codes): 8221
- NAICS Code (colleges): 611310
- R&D: 541710
- University Wire Transfer Information: Contact ORPA
- SAM.gov expiration date: 03/08/2024

DHHS:
- Public Health Service (PHS) Entity No.: 1160743209A1
- NIH Institutional Profile File No.: 7047101
- Human Subjects General Assurance No.: FWA000000 9386
- Institutional Assurance Date: 9/27/10
- Project Date: Is project specific
- Biosafety Committee (formerly Biohazards): Filed 04/12/79
- Laboratory Animal Assurance No.: D16-00188 (A329201) (reference both numbers)
- Institutional Assurance Date: 5/14/87
- Misconduct in Science: 7047101, 12/06/89 (as amended)
- Organizational Component Code: SMD-01, SON-09, Other-20

DOD:
- Office of Naval Research Basic Agreement No.: N00014-79-H-0145
- Disclosure Statement required by CASB: 3/14/97 submitted to ONR
- DoD Activity Code ("Cage") Primary: 03CZ7
- For DoD Form 1419, UR Code: N91782

DOE:
- Basic Research No.: 81.049

EU:
- PIC Code: 999867862

NSF:
- Submitting Institution Code: 0028944000

F & A COST RATE (7/1/20-6/30/23) Federal
(Date of Agreement – 6/18/20 DHHS)

<table>
<thead>
<tr>
<th>7/1/20-6/30/21</th>
<th>7/1/21-6/30/22</th>
<th>7/1/22-6/30/23</th>
<th>7/1/23- amended</th>
</tr>
</thead>
<tbody>
<tr>
<td>On campus 54%</td>
<td>On campus 54%</td>
<td>On campus 54.0%</td>
<td>On campus 54.0%</td>
</tr>
<tr>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
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</tbody>
</table>

The off-campus rate applies when the preponderance of the effort (e.g., more than 50%) occurs off-campus.
**F & A COST RATE**

The following are typical exclusions from F & A (indirect) cost charges:

* Equipment with a useful life of more than one year and a unit cost of more than $1,000, currently. As of 7/1/23, the equipment threshold will increase to more than $5,000. New York State remains at $1,000.
* The amount of each subcontract above $25,000 (F&A cost applied to the first $25,000 for each project period).
* Hospitalization (patient care costs, both in patient and out-patient).
* Tuition charges from the University of Rochester.
* Renovation charges.
* Fully-costed machine shop charges.
* Rental costs of off-site facilities

**Other Sponsored Activities rate:** 7/1/20-6/30/23  On Campus = 35%;  Off Campus = 25%

**New Industry Sponsored Clinical Trials Effective 7/1/21 = 33%; Effective 7/1/22 = 35%**

The following are typical exclusions from F & A (indirect) cost charges:

* IRB Fees/

**DHHS Cognizant Auditor Address and Defense Contract Audit Agency:**
Darryl W. Mayes, Deputy Director
DHHS, Cost Allocation Services
330 Independence Avenue, S.W.
Washington, DC 20201, Telephone Number (202-401-2808)

**University of Rochester Legal Address:**
University of Rochester
910 Genesee St., Suite 200
Rochester, NY 14611-3847

**ORPA Address:**
University of Rochester
Office of Research and Project Administration
518 Hylan Building, RC Box 270140
Rochester, NY 14627
585 275-4031 FAX: 585 275-9492