

University of Rochester
American Rescue Plan (ARP)
Higher Education Emergency Relief Fund (HEERF) III
Quarterly Report
December 31, 2021

The American Rescue Plan (ARP) was signed into law on March 11, 2021 by President Biden. The Plan created a third Higher Education Emergency Relief Fund (“HEERF III”) for distribution to colleges and universities, intended to help offset costs to students and institutions resulting from campus disruptions due to the Coronavirus. This report is required by Section 314(e) of the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) and is published here pursuant to the guidance issued by the U.S. Department of Education.

The University of Rochester signed and returned to the Department of Education the Certification and Agreement for Emergency Financial Aid Grants for Students under the Act on April 27, 2020.

The University received \$7,551,244 on May 26, 2021. This funding has been allocated among The College of Arts, Science & Engineering, Eastman School of Music, and the School of Medicine and Dentistry. Each school will distribute its funding allocation based on the unique needs of its student population. The allocations among schools and to various groups of students are described below.

As of the date of this report, 12/31/21, \$1,909,770 in Emergency Financial Aid Grants have been distributed to students under ARP/HEERF III during this quarter and \$6,839,057 overall.

The estimated total number of students at the institution eligible to receive Emergency Financial Aid Grants to students is 5,500.

The total number of students who have received an Emergency Financial Aid Grant to students under ARP/HEERF III as of the date of this report is 1,720.

The methods used by the University of Rochester to determine which students receive Emergency Financial Aid Grants and how much they receive under Section 314(a)(1) of the ARP/HEERF III are described here by school. All schools prioritized students with financial need in the awarding process. Continued or future enrollment was not a condition for the receipt of an award and students were provided the option to apply funds directly to their student account through an electronic, affirmative consent.

The College of Arts, Science, and Engineering - \$5,663,433 available, \$5,264,495 awarded (total), \$1,356,166 (this quarter)

The total number of students at The College of Arts, Science, and Engineering who have received an Emergency Financial Aid Grant to students under Section 314(a)(1) of the ARP/HEERF III is:

- Graduate Students: 46 (total), 9 (this quarter)
- Undergraduate Students: 1,303 (total), 515 (this quarter)

The method of allocation by the College of Arts, Science and Engineering is as follows:

In order to be eligible for ARP/HEERF III funding, students must have completed a financial aid application and demonstrated financial need. Award amounts were determined based on prioritizing financial need as a criteria.

- Funding to students experiencing a financial hardship due to COVID-19 through an application process.
 - Undergraduate:
 - High need (>\$60,000) – up to \$6,000
 - Medium need (\$30,000-\$59,999) – up to \$4,000
 - Low need (< \$30,000) – up to \$2,000
 - Graduate:
 - High need (EFC = 0) – up to \$6,000
 - Medium need (EFC \$1-\$15,000) – up to \$4,000
 - Low need (EFC > \$15,000) – up to \$2,000
- Assistance to eligible students in need via application to the Basic Needs Hub (BNH).
- Laptops provided to high-need students as a requirement for academic success.
- Assistance to eligible students remaining on campus for Winter Stay for food. This is in addition to funding levels noted above.
 - High need (>\$60,000) –\$630
 - Medium need (\$30,000-\$59,999) –\$378
 - Low need (< \$30,000) –\$189

Eastman School of Music - \$1,283,711 available, \$916,062 awarded (total), \$158,386 (this quarter)

The total number of students at Eastman School of Music who have received an Emergency Financial Aid Grant to students under Section 314(a)(1) of the ARP/HEERF III is:

- Graduate Students: 121 (total) 12 (this quarter)
- Undergraduate Students: 97 (total) 16 (this quarter)

The method of allocation by the Eastman School of Music is as follows:

In order to be eligible for ARP/HEERF III funding, students must have completed a financial aid application. Award amounts were determined based on prioritizing financial need as a criteria.

- Funding to students experiencing a financial hardship due to COVID-19 through an application process.
- Assistance to eligible students in need via application to the Basic Needs Hub (BNH).
- Assistance to eligible students remaining on campus for Winter Stay for food. This is in addition to funding levels noted above.
 - High need (>\$60,000) –\$630
 - Medium need (\$30,000-\$59,999) –\$378
 - Low need (< \$30,000) –\$189

School of Medicine and Dentistry - \$604,100 available, \$477,782 awarded (total), \$231,000 (this quarter)

The total number of students at the School of Medicine and Dentistry who have received an Emergency Financial Aid Grant to students under Section 314(a)(1) of the ARP/HEERF III is:

- Grad/MD Students: 113 (total) 55 (this quarter)

The method of allocation by the School of Medicine and Dentistry is as follows:

2020-2021 Awarding Criteria:

- Minimum criteria to be eligible:
 - All recipients had to be in the MD Class of 2021
 - All recipients needed a valid 2020-2021 ISIR on file
- Awards determined as follows:
 - Recipients of institutional need-based scholarship - \$1,000
 - Educational loan borrowers only - \$750
 - No need-based, no educational debt - \$0

2021-2022 Awarding Criteria:

In order to receive funding through SMD's first round of HEERF awarding, all applicants must meet these basic criteria:

- Must be currently enrolled at least half-time
- Must complete the "COVID 19 Relief Funding Application"
- Must have been impacted financially by COVID 19 per the application.
- Must have successfully submitted a financial aid application. Acceptable Financial Aid applications include:
 - 2021-2022 CSS Profile
 - 2021-2022 FAFSA
- Must have demonstrated financial need as outlined in the charts below

MD Students (non-Research Fellows):

- MD students in the regular curriculum (non-Research Fellows)
- Must have already completed the 2021-2022 CSS Profile
- Must have already accepted some amount of self-help aid/Loan (can be federal, institutional, or private loan).

Awards determined as follows:

Need Levels	CSS Profile EFC	HEERF Award
High Need	\$0 - \$21,500	\$6,000
Medium High Need	\$21,501 - \$43,000	\$4,500
Medium Low Need	\$43,001 - \$64,500	\$3,000

Low Need	\$64,501 and up	\$1,500
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MD Students in a Research Fellowship:

- MD students participating in a University approved Research Fellowship
- Have a current/enrolled student status (i.e. – cannot be completing research while on a LOA from the University)
- Must have already completed the FAFSA for 2021-2022

Awards determined as follows:

FAFSA EFC	HEERF Award
\$0	\$4,500
\$1 - \$10,000	\$3,000
\$10,001 and up	\$1,500

MD Students (Loans Only):

- MD students in the regular curriculum (non-Research Fellows)
- Must have already completed the FAFSA for 2021-2022
- Must have already accepted some amount of self-help aid/Loan (can be federal, institutional, or private loan).

Awards determined as follows:

FAFSA EFC	HEERF Award
\$0	\$4,500
\$1 - \$10,000	\$3,000
\$10,001 – and up	\$1,500

Students in a Master’s Program:

- For students completing a Master’s Program at SMD (including EIOH)
- Must have already completed the FAFSA for 2021-2022

Awards determined as follows:

FAFSA EFC	HEERF Award
\$0	\$4,500
\$1 - \$10,000	\$3,000
\$10,001 and up	\$1,500

Student categories that are NOT eligible at SMD:

- Students in PhD programs
- Students in the MD/PhD program
- SMD students completing a Master’s Program on River Campus/another institution
- Students in an Advanced Certificate program

- Students completing a Preceptorship program

Instructions/guidance provided to students concerning the Emergency Financial Aid Grants

Students were provided with an online affirmation, requiring electronic signature, which included certification that:

- the information you provided is true and complete to the best of your knowledge,
- you agree to use HEERF III/ARP Emergency funds for the expenses defined by CRRSAA/HEERF II section 314(a)(1),
- you understand that not all students who apply will be eligible for these funds.
- You have been awarded funds as an eligible student for the expenses noted including any component of your cost of attendance or for emergency costs that arise due to coronavirus. By dating the application, you are e-signing and affirming that you:
 - will use these funds for the purposes defined by the U.S. Department of Education,
 - acknowledge that award amounts may vary per student,
 - request the funds to be applied directly to your student billing account.

Students who did not want to apply funds to their student account were instructed to reach out to the financial aid counselor to arrange an alternative option.