

Research Administration: A New Opportunity for Grants Management



RAMC | December 22, 2020



UNIVERSITY *of* ROCHESTER



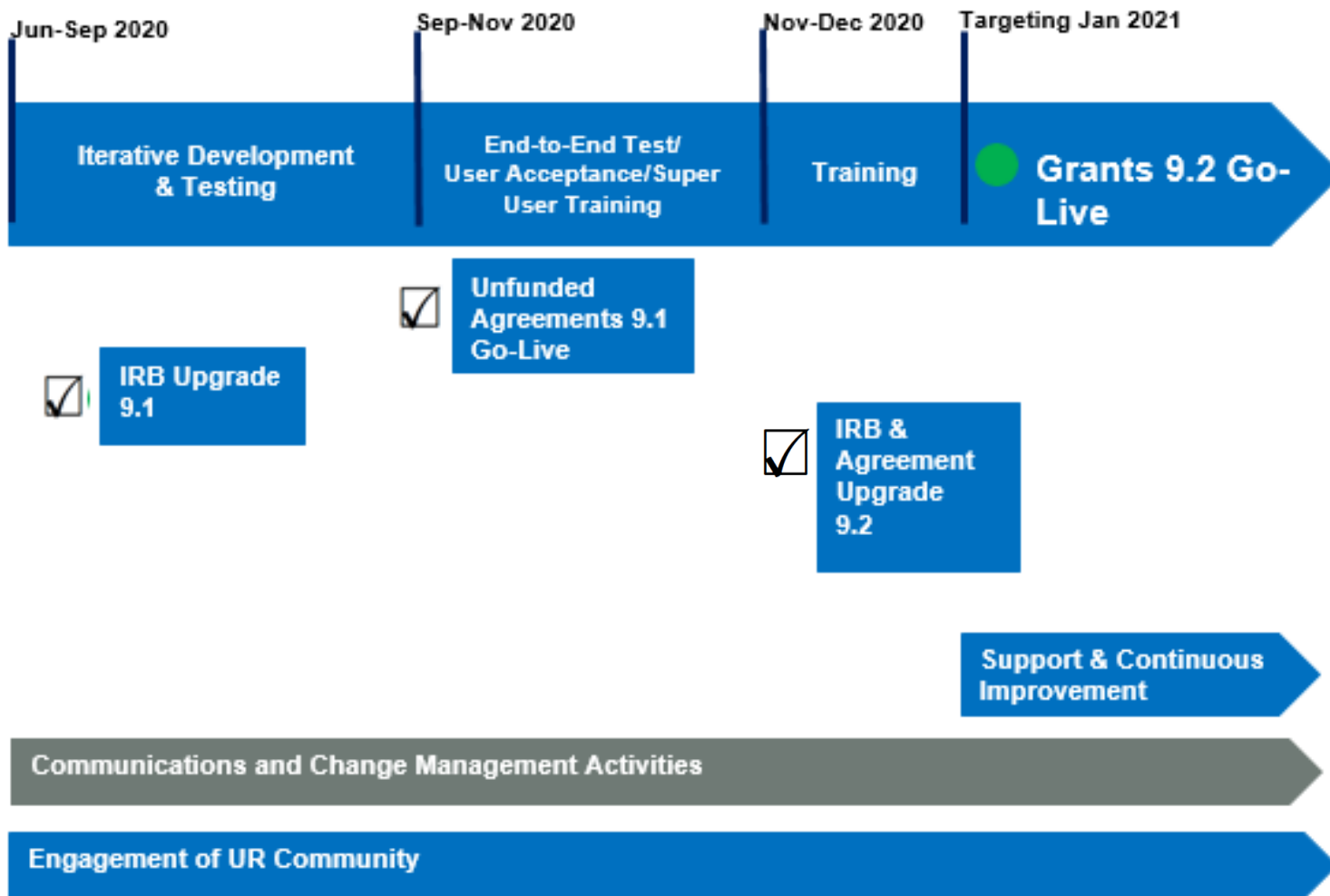
Agenda



- IORA Status
- Awards / Migrated Data from COEUS to IORA
- Notifications
- Testing Update
- Training / Office Hours
- Open Q&A – All



Timeline: IORA Implementation



Awards & Migrated Data



Reminder: Freeze on Awards

**Freeze on new Awards, Award Modifications,
and Subawards from December 18
until go-live**

- Freeze does not apply to Proposals – only new Awards, Award Modifications, SubAwards
- Proposals will continue to be entered into COEUS until go-live
- COGNOS data will still be available during this freeze period



Migrated Data from COEUS



MIGRATE DATA

- Coeus data migrated from launch of UR Financials (Workday) (January 2015)
- Approximately 6,800 awards have been migrated
- Shell Funding Proposals (FP) have been created for each award
- CLASP certified admin assigned



Migrated Data from COEUS



MIGRATE DATA

- Only award level financial information migrated – no budgets
 - Direct costs, indirect costs, total costs
- Sub-GRs and Continuation GRs are included
- Migrated awards have 2 award periods
 - Period #1: Award start through current
 - Period #2: Anticipated out years
- We will work together to update awards as we interact with them



Migrated Data from COEUS



MIGRATE DATA

- There will be a stabilization period after go-live
- ORPA will require time to get back up to speed
 - Catch up of all “interim” activity
 - Each modification will include multiple actions
 - Learning curve
- Careful review of migrated award data
- Utilize IORA for communications



Award Summary



Transcriptional Profiling to Discriminate Bacterial and Non-Bacterial Respiratory Illnesses

AWD00002698 **Funding Award**

PD/PI:	[REDACTED]	Award Date:	1/31/2019
Specialist:	Anthony Beckman	Start Date:	2/1/2019
		End Date:	1/31/2024
Designated Reviewer:		Sponsor Award #:	5 R01 AI137364-02
Award Approver:	Anthony Beckman		
Approving Dept/Div/Institute:	Medicine M&D-Infect Dis Unit		
Administrative Contact:	Matthew Lombardo		
Direct Sponsor:	National Institute of Allergy & Infectious Diseases (NIAID)		
Prime Sponsor:			
Current Total Project Period Commitment:	\$3,753,249		
Current Total Award Amount To Date:	\$1,546,161		

Awarded Funding Proposals:

ID	Name	Principal Investigator	Status
FC18096872	Transcriptional Profiling to Discriminate Bacterial and Non-Bacterial Respiratory Illnesses	[REDACTED]	Awarded



Funding Allocations: *One GR*



Related Items

1. * Proposals funded by this award:

ID	Name	Principal Investigator	Primary Sponsor	Project State
FC18096872	Transcriptional Profiling to Discriminate Bacterial and Non-Bacterial Respiratory Illnesses	[REDACTED]	National Institute of Allergy & Infectious Diseases (NIAID)	Awarded

Financial Setup

1. Financial accounts:

Name	PI	Responsible Unit	IDC Rate Type	IDC Base	Active	Copy
GR504455	[REDACTED]	Medicine M&D-Infect Dis Unit	On Campus	MTDC	True	

Budget Allocations

1. Add / edit and remove budget allocations: ?

Financial Account Name	Period Number	Name	Start Date	End Date	Authorization	Authorized Amount	Awarded Amount
GR504455	1	GR504455 5 R01 AI137364-02	2/1/2019	1/31/2024	Authorized by Sponsor	\$1,546,161	\$1,546,161
	2	Not Authorized	2/1/2019	1/31/2024	Not Authorized	\$0	\$2,207,088



Funding Allocations: *Multiple GRs*



Financial Setup

1. Financial accounts:

Name	PI	Responsible Unit	IDC Rate Type	IDC Base	Active	Copy
GR504981	[REDACTED]	Biology Col	On Campus	MTDC	True	
GR504983	[REDACTED]	Biology Col	On Campus	MTDC	True	
GR507285	[REDACTED]	Biology Col	On Campus	MTDC	True	
GR507291	[REDACTED]	Biology Col	On Campus	MTDC	True	
GR507292	[REDACTED]	Biology Col	On Campus	MTDC	True	
GR507293	[REDACTED]	Biology Col	On Campus	MTDC	True	

Budget Allocations

1. Add / edit and remove budget allocations: ?

Financial Account Name	Period Number	Name	Start Date	End Date	Authorization	Authorized Amount	Awarded Amount
GR504981	1	GR504981 3 P01 AG047200-07S2	5/1/2019	4/30/2024	Authorized by Sponsor	\$385,000	\$385,000
GR504983	1	GR504983 3 P01 AG047200-07S1	5/1/2019	4/30/2024	Authorized by Sponsor	\$385,000	\$385,000
GR507285	1	GR507285 5 P01 AG047200-07	5/1/2019	4/30/2024	Authorized by Sponsor	\$2,174,737	\$2,174,737
GR507291	1	GR507291 5 P01 AG047200-07	5/1/2019	4/30/2024	Authorized by Sponsor	\$907,851	\$907,851
GR507292	1	GR507292 5 P01 AG047200-07	5/1/2019	4/30/2024	Authorized by Sponsor	\$941,213	\$941,213
GR507293	1	GR507293 3 P01 AG047200-07S1	5/1/2019	4/30/2024	Authorized by Sponsor	\$656,151	\$656,151
	2	Not Authorized	5/1/2019	4/30/2024	Not Authorized	\$0	\$12,659,837



Notifications



Notifications from IORA



	Funding Proposal Submit for Dept Review	Named on Funding Proposal	Funding Proposal Submit to Specialist	Funding Proposal Submit to Sponsor	Advance Account Activated	Award Letter Received	Award Activated	Award Modification Approved
PI		X	X	X	X	X	X	X
Administrative Contact		X	X	X	X	X	X	X
All personnel named on the proposal		X						
Dept Reviewers	X							
Assigned Specialist			X		X	X	X	X
ORACS					X		X	X



Testing Update



Testing Update



- Super Users are testing using a variety of “day in the life” scenarios
- Issues are logged in JIRA and are being addressed by Huron
- We have yet to encounter proposal-creation scenarios that could not be accommodated
- Migrated data is looking good
- Will be testing post-award functionality and the Workday integration next



Training



Training Update

- Anyone who will be creating a funding proposal in IORA must be CLASP-certified **and complete 4 short videos and acknowledge the UR *Code of Conduct* in MyPath** (30 minutes max – available now, can be done anytime!). **Must be completed before someone can create a proposal in IORA!**
- **Other resources:** Videos, FAQs, reference guides are available



Visit: <https://www.rochester.edu/iora-project/iora-training-grants/>



Live Trainings Offered

- Pre-Award: How to Prepare a Funding Proposal in IORA (3 sessions offered)
- Routing for Reviewers
- Post Submission/Pre-Award: Advanced Accounts
- Post Award: Your Proposal Has Been Awarded
- Post Award: Amendments, Extensions, Closeout Activities (will be rescheduled)
- Funded Agreements: Research Agreements
- Funded Agreements: Clinical Research

- Trainings conducted by ORPA staff
- Recordings are available
- Surveys sent after each training to gather feedback (vast majority positive)
- More classes will be scheduled as needed
- Office hours at go-live; plan to ask super users to assist; schedule will be published in January



Next Steps for IORA Team

- TEST, TEST, and TEST
- Build and test workday integrations
 - Contingency planning
- Build and test Day-1 reports
- Complete training initiatives and obtain attestation sign-off
- Complete and execute go live pre-requisite and dry run activities



What we need from RAMC

If you haven't already, please:

- **Communicate with your departments about IORA**
- Go to the Training resources page and ensure you are prepared when it's time to use IORA
- If you will be creating funding proposals, **take the MyPath training before January 4** (30 minutes). If you have not completed this, you will not be able to create a funding proposal in IORA.





Q&A

About Us Academics Admissions Arts Athletics Global Libraries Medicine Research Student Life Working Here Giving

Integrated Online Research Administration (IORA)

- Questions & Answers
- IORA Updates & Communications
- IORA Training: Resources
- Timeline
- Program Team
- IORA Super Users
- Research Administration Modernization Committee (RAMC)
- Feedback/Contact Us

Office of Research and Project Administration (ORPA)

Embark Program Site

Questions & Answers

- What is happening and why? +
- What are the capabilities of the new IORA Agreements/Grants Management System? +
- What are the different ways we can utilize IORA to prepare and submit funding proposals?+ +
- Will there be any kind of freeze period in preparation for go-live? +
- How does the funding proposal sign-off process compare to how we do things today? +
- What types of process changes should I expect in IORA? +
- Who can initiate funding proposals in IORA? +
- How was the system chosen? +

IORA Questions and Answers:

<https://www.rochester.edu/iora-project/grants/faqs/>



Backup slides



Key Change: No Paper Sign-off Form

- When comparing IORA to our current processes, think of the **smart form questions within IORA** as simply **replacing our current paper sign-off form**. It's the same information (and in some cases, less!), but it will be in IORA
- IORA replaces COEUS and is the source of record for proposals, awards, and agreements

Reminder: All proposal information must be submitted to ORPA 5 days prior to the deadline!



Key Change: Use IORA for Correspondence

- Funding Proposal, Award and Agreement information is in IORA
- IORA will send information via email notifications when your action or awareness is needed
- Use IORA to view and track information rather than paper and email

Note:

Use the functionality and features of IORA rather than paper and email



Key Change: Salary Data in IORA



- Leadership agreed to salary and fringe inclusion in IORA for budgeting purposes
- Only CLASP-certified administrators (or minimal number of faculty with no administrative support) will be able to view base salary information
- Notifications will be sent to those who are named on proposals for their awareness

Note:

Having this data in IORA, a secure system, saves time and effort when calculating budgets



Key Change: Approvals in IORA

Current State

University Proposal Sign-Off Form currently requires up to 8 categories of approvals, dependent upon resource utilization

Decision

Leadership agreed that after-the-fact reporting for non-submitting Department Chairs would suffice and agreed that this would be beneficial

Future State

Single monthly report of all proposals in the pipeline that utilize resources or their faculty's effort, rather than approving the proposal during sign-off

Note: Notifications will be sent to those who are named on proposals for their awareness



Key Change: Awards & Sub-Awards

- Paper Notice of Award (NOA) is replaced by Award details in IORA
- For sub-awards, answer questions in IORA and upload any supporting documentation (such as a SOW). No longer need to send a set of documents to ORPA to prepare sub-award.
- Ability to budget dollars per anticipated need in most cases
- Post-Award communication all in one common place (IORA)

Note:

Everything needed to request a Sub-award is in IORA



Reminder: PI Certification Required

PI certification **is required in IORA** in order for ORPA to submit a funding proposal.

The certification is critical as it is the PI's acknowledgement that:

- the PI is not debarred and does not have any conflict of interest,
- the proposal is accurate and truthful
- the proposal is ready to be submitted

PI Certification

PI Certification

1. * Is this a multiple PI Submission as defined by the NIH?

Yes No [Clear](#)

2. * Are you currently debarred or suspended from doing business with the federal government or excluded from Medicare or other federal/state health care programs, or are you currently in default on any federal student loans? Yes No [Clear](#)

3. * Do you have consulting arrangements, management responsibilities, direct equity holdings with the funding sponsor or with the manufacturer of a drug/device being studied?

Yes No [Clear](#)

I affirm that my annual disclosure of Compensated Outside Interests (or subsequent ad hoc disclosure) is complete and accurate.

I certify that the proposal is accurate and complete to the best of my knowledge. I certify that the proposal (including any subsequent supplemental material) is compliant with sponsor requirements. In addition, I understand that any false, fictitious, or fraudulent statements or claims made in the accompanying proposal may subject me to criminal, civil, or administrative penalties. I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of this application.

* As PI/PD for this submission, I approve the above endorsements:

OK

Cancel

