

Research Administration: A New Opportunity for Grants Management



RARA & River Rats Meetings| December 16, 2020



UNIVERSITY *of* ROCHESTER

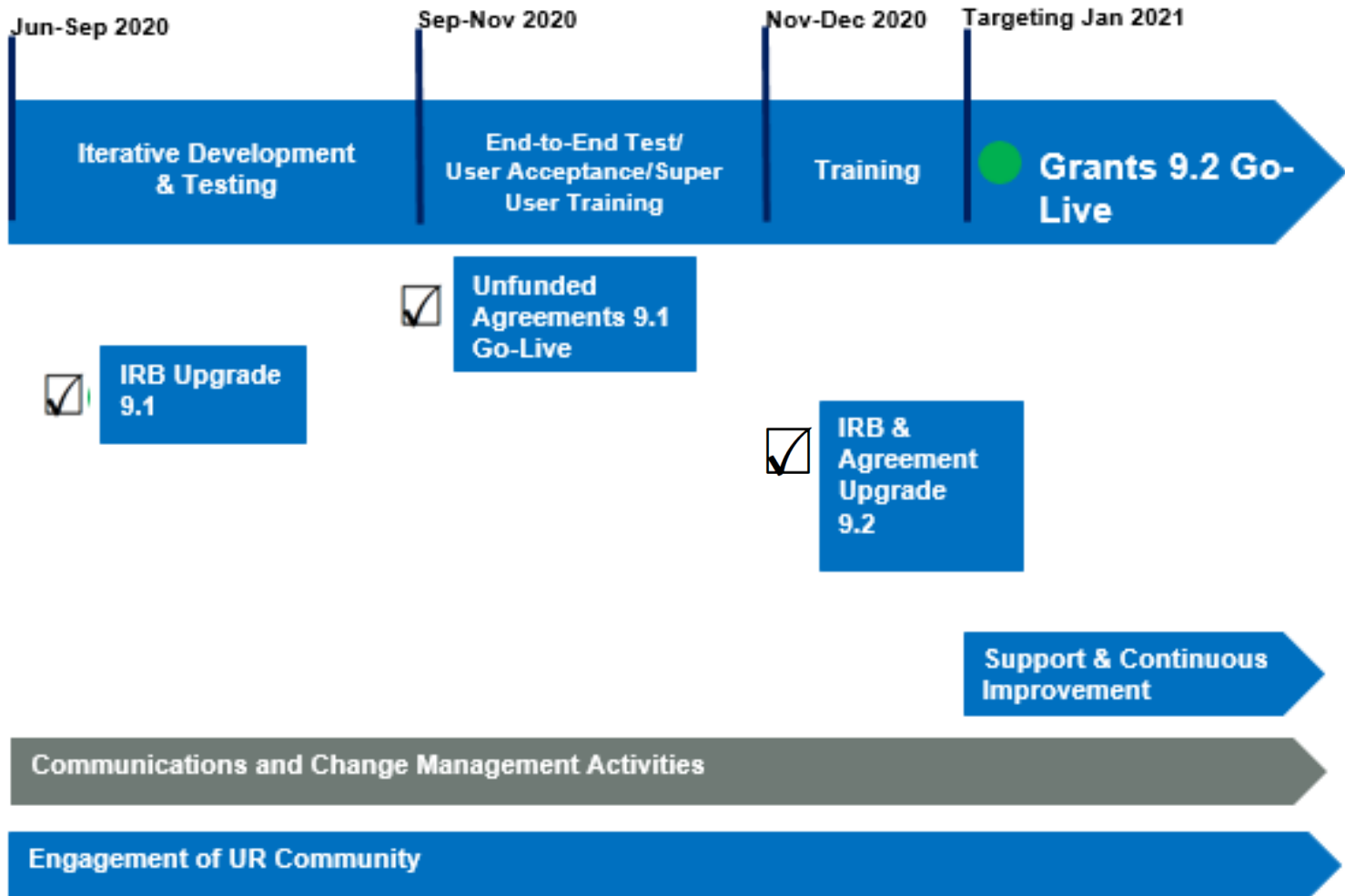
Agenda



- IORA Status/Go-Live Activities – Gunta Liders & Terrance Collins-Howard
- Training – Jen Carlson
- Awards in IORA & Notifications – Anthony Beckman, Jen Carlson
- Open Q&A - All



Timeline: IORA Implementation



Go-Live Activities



- **Freeze on new Awards and Award modification mid-December – go-live**
 - Please send Awards and Award Modifications into ORPA before mid-December or hold until after go-live
 - Freeze does not apply to Proposals – only new Awards and Award modifications
 - Proposals will continue to be entered into COEUS until go-live
 - COGNOS data will still be available during this freeze period
- **Use IORA for Funding Proposals, Agreements and IRB beginning January 11**



Training

Jen Carlson, Research Administrator, ORPA



Training

- Anyone who will be creating a funding proposal in IORA must be CLASP-certified **and complete 4 short videos and acknowledge the UR Code of Conduct in MyPath** (30 minutes max – available now, can be done anytime!). **You must complete this before you can create a proposal in IORA!**
- **Instructor-led training recordings now available;** More live virtual classes will be scheduled as needed. Office hours available at go-live.
- **Other resources:** Videos, FAQs, reference guides are available



Visit: <https://www.rochester.edu/iora-project/iora-training-grants/>



Awards & Notifications

Anthony Beckman, Associate Director, ORPA

Jennifer Carlson, Research Administrator, ORPA



In IORA...

- How to find existing Awards
- Award Data
- Notifications



Demo - Awards in IORA



Notifications from IORA

	Funding Proposal Submit for Dept Review	Named on Funding Proposal	Funding Proposal Submit to Specialist	Funding Proposal Submit to Sponsor	Advance Account Activated	Award Letter Received	Award Activated	Award Modification Approved
PI		x	x	x	x	x	x	x
Administrative Contact		x	x	x	x	x	x	x
All personnel named on the proposal		x						
Dept Reviewers	x							
Assigned Specialist			x		x	x	x	x
ORACS					x		x	x





Q&A

[About Us](#) [Academics](#) [Admissions](#) [Arts](#) [Athletics](#) [Global](#) [Libraries](#) [Medicine](#) [Research](#) [Student Life](#) [Working Here](#) [Giving](#)

[Integrated Online Research Administration \(IORA\)](#)

- [Questions & Answers](#)
- [IORA Updates & Communications](#)
- [IORA Training: Resources](#)
- [Timeline](#)
- [Program Team](#)
- [IORA Super Users](#)
- [Research Administration Modernization Committee \(RAMC\)](#)
- [Feedback/Contact Us](#)

[Office of Research and Project Administration \(ORPA\)](#)
[Embark Program Site](#)

Questions & Answers

- [What is happening and why?](#) +
- [What are the capabilities of the new IORA Agreements/Grants Management System?](#) +
- [What are the different ways we can utilize IORA to prepare and submit funding proposals?](#) +
- [Will there be any kind of freeze period in preparation for go-live?](#) +
- [How does the funding proposal sign-off process compare to how we do things today?](#) +
- [What types of process changes should I expect in IORA?](#) +
- [Who can initiate funding proposals in IORA?](#) +
- [How was the system chosen?](#) +

IORA Questions and Answers:
<https://www.rochester.edu/iora-project/grants/faqs/>

Backup slides



Key Change: No Paper Sign-off Form

- When comparing IORA to our current processes, think of the **smart form questions within IORA** as simply **replacing our current paper sign-off form**. It's the same information (and in some cases, less!), but it will be in IORA
- IORA replaces COEUS and is the source of record for proposals, awards, and agreements

Reminder: All proposal information must be submitted to ORPA 5 days prior to the deadline!



Key Change: Use IORA for Correspondence

- Funding Proposal, Award and Agreement information is in IORA
- IORA will send information via email notifications when your action or awareness is needed
- Use IORA to view and track information rather than paper and email

Note:

Use the functionality and features of IORA rather than paper and email



Key Change: Salary Data in IORA



- Leadership agreed to salary and fringe inclusion in IORA for budgeting purposes
- Only CLASP-certified administrators (or minimal number of faculty with no administrative support) will be able to view base salary information
- Notifications will be sent to those who are named on proposals for their awareness

Note:

Having this data in IORA, a secure system, saves time and effort when calculating budgets



Key Change: Approvals in IORA

Current State

University Proposal Sign-Off Form currently requires up to 8 categories of approvals, dependent upon resource utilization

Decision

Leadership agreed that after-the-fact reporting for non-submitting Department Chairs would suffice and agreed that this would be beneficial

Future State

Single monthly report of all proposals in the pipeline that utilize resources or their faculty's effort, rather than approving the proposal during sign-off

Note: Notifications will be sent to those who are named on proposals for their awareness



Key Change: Awards & Sub-Awards

- Paper Notice of Award (NOA) is replaced by Award details in IORA
- For sub-awards, answer questions in IORA and upload any supporting documentation (such as a SOW). No longer need to send a set of documents to ORPA to prepare sub-award.
- Ability to budget dollars per anticipated need in most cases
- Post-Award communication all in one common place (IORA)

Note:

Everything needed to request a Sub-award is in IORA



Reminder: PI Certification Required

PI certification **is required in IORA** in order for ORPA to submit a funding proposal.

The certification is critical as it is the PI's acknowledgement that:

- the PI is not debarred and does not have any conflict of interest,
- the proposal is accurate and truthful
- the proposal is ready to be submitted

PI Certification

PI Certification

1. * Is this a multiple PI Submission as defined by the NIH?

☐ Yes ☐ No [Clear](#)

2. * Are you currently debarred or suspended from doing business with the federal government or excluded from Medicare or other federal/state health care programs, or are you currently in default on any federal student loans? ☐ Yes ☐ No [Clear](#)

3. * Do you have consulting arrangements, management responsibilities, direct equity holdings with the funding sponsor or with the manufacturer of a drug/device being studied?

☐ Yes ☐ No [Clear](#)

I affirm that my annual disclosure of Compensated Outside Interests (or subsequent ad hoc disclosure) is complete and accurate.

I certify that the proposal is accurate and complete to the best of my knowledge. I certify that the proposal (including any subsequent supplemental material) is compliant with sponsor requirements. In addition, I understand that any false, fictitious, or fraudulent statements or claims made in the accompanying proposal may subject me to criminal, civil, or administrative penalties. I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of this application.

* As PI/PD for this submission, I approve the above endorsements: ☐

OK

Cancel

