

**UNIVERSITY OF ROCHESTER  
EXPORT CONTROL CHECKLIST**

Use this checklist when reviewing:

- Requests for proposals or project solicitations
- Initiation of major new research projects
- Program award notices
- International collaborations
- Non-Disclosure Agreements (NDAs)
- Any other documents related to research proposals, contracts, cooperative agreements, and other arrangements for sponsored research projects to assure that they do not require secrecy or impose unacceptable restrictions

**Does this project or agreement:**

	YES	NO
Restrict researcher participation (faculty, student, others) based on country or origin or citizenship?		
Require researcher participation in US-citizen-only meetings?		
Grant the sponsor a right of prepublication review for matters other than the inclusion of patent and/or proprietary sponsor information?		
Provide that any part of the sponsoring, granting, or establishing documents may not be disclosed?		
Limit access to confidential data and involve a controlled technology (see below)?		
Involve research containing source code for encrypted software (other than publicly available software distributed at no charge)?		
Involve research, information or software that could be used in the development of weapons of mass destruction (nuclear, biological, chemical), or their delivery systems?		
Involve equipment, software, services or technology that is on the United States Munitions List ("USML") under the International Traffic in Arms Regulations ("ITAR"; see <a href="http://pmdtc.org/">http://pmdtc.org/</a> )?		
Involve equipment, software, services or technology that is on the Commerce Control List ("CCL") under the Export Administration Regulations ("EAR"; see <a href="http://www.bis.doc.gov/">http://www.bis.doc.gov/</a> )?		
Involve technical information or instructions concerning equipment, software or technology on the USML or the CCL?		
Provide data, services or conduct any transaction with an embargoed country as defined by OFAC <a href="http://www.ustreas.gov/offices/eotffc/ofac/">http://www.ustreas.gov/offices/eotffc/ofac/</a>		

If the answer to any of these questions is "yes," or if you have any other questions related to export controls, please contact the Office of Research and Project Administration (ORPA) at 275-4031.

**If accepting proprietary information as part of a project:**

	YES	NO
Is the information clearly identified?		
Can the information be appropriately protected?		
Can proprietary information be removed from research results, so that results may be freely published?		

If the answer to any of these questions is "no," please contact ORPA at 275-4031.