

Assurance of Compliance with Federal Statutes Relating to Lobbying Activities

The University of Rochester must comply with all Federal statutes relating to lobbying activities.

What is lobbying?

A lobby activity is any contact with an executive or legislative official with regard to governmental policy, action, legislation, programs including negotiation and administration of grants, contracts and loans. It includes urging others to make governmental contacts.

Lobbying does **not** include:

- Broad discussion of social, economic and other policy issues (cannot address merits of specific legislation.)
- Monitoring legislative, regulatory or other activities (unless part of other lobby activity).
- Responding to a request from an executive agency or legislature for information, advice, recommendations or comment.

As required by Section 1352, Title 31 of the U.S. Code, and implemented as 34 CFR Part 82, when entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Section 82.105 and 82.10, the University is required to certify that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the University, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an office or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a federal grant or cooperative agreement, the University is required to complete and submit a Disclosure of Lobbying Activities form ([Standard Form LLL](#)).
3. The University shall require that the language of this certification be included in the award documents for all subagreements at all tiers (including subgrants, contracts, under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.
4. In addition, University faculty involved in Lobbying Activities with non-Federal funds are required to complete a ["UR Lobbying Activity Log"](#) and submit it in accordance with its instructions.