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Interim Vice President for Research



**Date:** May 11, 2022

**To:** University of Rochester Research Community

**From:** Stephen Dewhurst, Interim Vice President for Research

**Re:** Updated Guidance Document on Faculty Consulting

The University of Rochester provides resources for faculty who engage in outside consulting activities. These include guidance documents on ORPA's [website](#), and consulting agreement review by Office of Counsel for advice on any changes necessary to ensure consistency with University policies. The document entitled "[Guidance and Advice for Faculty who Engage in Outside Consulting](#)" provides: 1) references to related guidance and policies; 2) issues to consider when undertaking outside consulting; and 3) explanation of agreement terms of potential concern. Faculty have found this guidance to be valuable when considering undertaking outside consulting. This guidance has been recently updated and revised.

**I would also like to take the opportunity to remind investigators and researchers that consulting relationships are generally not permitted for the conduct of research.** Consulting that involves research requires evaluation of additional considerations and concerns, such as overlap with the faculty member's University research portfolio. In addition, research conducted during consulting time must be reported to federal funding agencies in the other support statements. It is important that faculty consult with the applicable Dean's office or ORPA prior to taking on outside research obligations.

Clinical faculty are also reminded that any arrangements for their provision of clinical care would be through University contracts with the outside entity, rather than through individual consulting arrangements.

If there are still questions after reviewing this Guidance, faculty are advised to consult with their Department Chair or supervisor, or their school's dean or associate dean for research. Further questions may be referred to the appropriate University office (e.g., [UR Ventures](#), [ORPA](#), Office of Counsel).