Federal agencies emphasize national security requirements

Over the last several months, the National Institutes of Health, the Department of Defense, the National Science Foundation, the Department of Justice, the U.S. intelligence community, and Congress have **begun to take steps to monitor and address** concerns regarding **potential national security threats related to federally-funded research programs**.

Specifically, federal agencies are examining cases in which the following may have occurred:

- Failure by researchers to disclose substantial contributions of resources from other organizations, including foreign governments and corporations;
- The diversion of intellectual property in grant applications or produced by federallysupported biomedical research to other entities, including other countries;
- The sharing of confidential information by peer reviews with others, including in some instances with foreign entities, and otherwise attempting to influence funding decisions.

The NIH, DOD, and NSF are working with federally-funded academic institutions and national higher education associations to identify steps to mitigate breaches of trust and confidentiality that undermine the integrity of U.S. research.

These actions include improving the accuracy and reporting of all sources of research support, financial interests, and relevant affiliations, mitigating the risk to IP security, and methods to further protect the integrity of the peer review system, while continuing the tradition of collaboration with foreign scientists and institutions.

University of Rochester faculty are reminded that **applicants and awardees must disclose all forms of other support and financial interests,** including support coming from foreign governments or other foreign entities. Specifically, faculty and investigators are **required to report personal remuneration received from foreign governments or other foreign entities** via the University's <u>Reporting of Compensated Outside Activities</u>.

The link for annual outside interest reporting for AS&E faculty is www.rochester.edu/asei/

In addition, Other Support information required by grant applications must include all financial resources available in direct support of an individual's research endeavors, including support from foreign entities.

For more information on policies and reporting requirements, faculty should contact their research administrator in <u>ORPA</u>.

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