## NSF Proposals Potential Reasons Proposals Not Accepted or Returned Without Review

Proposal Duplication, Similarity or Not Appropriate or Submitted Timely	<ul> <li>Proposal is a duplicate of, or substantially similar to, one already under consideration by NSF from the same PI/co-PI's</li> <li>Proposal was previously reviewed and has not been substantially revised.</li> <li>Is inappropriate for funding by the NSF</li> <li>Is submitted with insufficient lead-time before activity is scheduled to begin</li> <li>Is a full proposal that was submitted by a proposer that has received a "not invited" response to the submission of a preliminary proposal</li> <li>Is not responsive to the <u>PAPPG</u> or program announcement/solicitation</li> <li>Does not meet the announced proposal deadline date</li> </ul>
Broader Impacts, Intellectual Merit Overview	<ul> <li>Broader impacts not addressed in a separate section with individual headings in         <ul> <li>(a) Project Summary,</li> <li>(b) Project Description – proposed work,</li> <li>and</li> <li>(c) Project Description – NSF support section</li> </ul> </li> </ul>
Project Summary	<ul> <li>Over view, Intellectual Merit and Broader Impacts not addressed in separate sections (text boxes with individual headings) in the Project Summary</li> <li>Project Summary must be in third person</li> <li>File upload of pdf Project Summary only for those with unusual symbols and formulae</li> <li>May be only one page long -Fastlane checks automatically</li> </ul>
Prior Support	<ul> <li>Recent (last 5 years) NSF support section (up to 5 pages) is missing from Project Description</li> <li>This is required for each PI and co-PI and MUST include:         <ul> <li>NSF award number</li> <li>Funding amount</li> <li>Time period</li> <li>Title</li> <li>Results of the completed work in two separate sections on Intellectual Merit and Broader Impact</li> <li>Publications/products and their availability</li> <li>If renewal, relation of the completed work to the proposed work</li> </ul> </li> </ul>
References	<ul> <li>Reference section:         <ul> <li>Title missing</li> <li>Incomplete list of all authors of each reference</li> <li>Use of et al. is not permitted</li> <li>PI's are cautioned to properly reference and quote published work (figures, tables, and text)</li> <li>There is no limit on the size of the reference section, so these changes will not cause problems with length limits</li> <li>Cannot contain parenthetical information, footnotes or figures. This section must contain citations only</li> </ul> </li> </ul>
Bio Sketches	<ul> <li>Bio Sketch section:         <ul> <li>Incomplete list of all authors of each publication (do not use et al., use full names - most program managers PREFER that full names be used in the 2 page faculty CV, because this helps with Conflicts of Interest)</li> <li>Title missing</li> <li>Number of publications and/or synergistic activities exceed the allowable number (note: do not combine multiple synergistic activities to appear as one)</li> <li>Smaller font can be allowed in these sections</li> </ul> </li> <li>Bio Sketches with additional information <i>other than</i> the four required sections; provide the require information in the order and format specified in the <u>PAPPG</u></li> </ul>
Current & Pending	<ul> <li>Current and Pending Support section incomplete – required for each PI, co-PI, and senior project personnel</li> <li>Include the project you are applying for currently as 'pending.' with all appropriate fields completed per the NSF format</li> <li>All current project support must be listed whatever the source (Federal, State, local or foreign government, public or private foundations, industrial or other)</li> <li>All project activities requiring a portion of time of the PI, co-Pi or senior project personnel even if they receive no salary support from the activity (include no. of person months devoted to the project)</li> </ul>

<b>Budget Justification</b>	• This section is limited to three pages
Letters of Commitment	<ul> <li>Letter of Commitment that goes beyond a brief statement confirming collaboration;</li> <li>Additional remarks about PI/co-PI's past accomplishments or planned undertakings may not be included. (Program managers can request that you delete a letter even after you have submitted your application.)</li> <li>Letters of support are not accepted by NSF, unless specifically requested in a program solicitation</li> </ul>
Single Copy	Collaborators and Other Affiliations:
Documents	<ul> <li><i>Collaborators and co-Editors.</i> A list in alphabetical order (including current organizational affiliations) who are currently, or who have been collaborators or co-authors with the individual on a project, book, article, report, abstract or paper during the 48 months preceding the submission of the proposal.</li> <li><i>Graduate Advisors.</i> A list of the names in alphabetical order by last name of the individual's own graduate advisor(s) and their current organizational affiliations, if known.</li> <li><i>Ph.D. Advisor.</i> A list of all persons with whom the individual has had an association as a Ph.D. advisor.</li> </ul>
Font Sizes	Small font size and margins. See <u>PAPPG</u> for allowed size/margins
Cost Share	• Cost share, unless required by the solicitation, is <b>prohibited</b> by NSF

NSF Proposal & Award Policies & Procedures Guide (PAPPG) - January 30 2017

NSF has instituted a greater number of <u>auto-compliance checks</u>, which means that Fastlane proposals **may not be able** to be submitted by ORPA if non-compliant. It is *now more important than ever* to submit timely and be aware.

## The Dean's Office strongly urges PIs to submit their proposals to ORPA for review at least 5 business days prior to submission deadline.