PROPOSAL INFORMATION

GENERAL:
Tax ID No. (Entity Identification Number) 16-0743209
U.S. Congressional District 25th, New York
N.Y. Senatorial District (RC) 55th (MC) 56th
N.Y. Assembly District 131st
N.Y. State Charitable Organization Registration # 11-41-70
N.Y. State DOH Animal Care & Use Certificate # A-050
NYS Vendor ID number 1000007489
Unique Entity Identifier (UEI) F27KDXZMF9Y8
U of R Dun & Bradstreet No. (DUNS) 041294109
(DUNS+4: 041294109-8221)
Contractor Establishment Code 89-442-758C
NRC # 436
AAALAC Approval 04/18/66
EEO 08/26/92
Federal Interagency Committee on Education (FICE) 002894
Affirmative Action 10/01/88
SIC (Standard Industry Codes) 8221
NAICS Code (colleges) 611310
R&D 541710
University Wire Transfer Information: as of 2/13/07
Payee: University of Rochester
Payee Address: Research Accounting and Costing Standards
Payee Contact: Renee Strobel
Bank Name: JP Morgan Chase
Bank Address: 4 NY Plaza, 15th Floor
ACH Phone Contact: Contact ORPA
Routing Transit Number (ABA): 800-447-3593
Bank Account Number: Contact ORPA
Type of Account: Checking

DHHS:
Public Health Service (PHS) Entity No. 1160743209A1
NIH Institutional Profile File No. 7047101
Human Subjects General Assurance No. FWA000000 9386
Institutional Assurance Date 9/27/10
Project Date Is project specific
Biosafety Committee (formerly Biohazards) Filed 04/12/79
Laboratory Animal Assurance No. D16-00188 (A329201) (reference both numbers)
Institutional Assurance Date 5/14/87
Misconduct in Science 7047101, 12/06/89 (as amended)
Organizational Component Code SMD-01, SON-09, Other-20
DOD:
Office of Naval Research Basic Agreement No. N00014-79-H-0145
Disclosure Statement required by CASB 3/14/97 submitted to ONR
DoD Activity Code ("Cage") Primary-03CZ7
For DoD Form 1419, UR Code N91782
DOE:
Basic Research No. 81.049
EU:
PIC Code 999867862
NSF:
Submitting Institution Code 0028944000
USDA:
ASAP Recipient Identification Number 3668000

F & A COST RATE (7/1/19-6/30/23) Federal
(Date of Agreement – 6/18/20 DHHS)

<table>
<thead>
<tr>
<th></th>
<th>7/1/19-6/30/20</th>
<th>7/1/21-6/30/22</th>
<th>7/1/22-6/30/23</th>
<th>7/1/23- amended</th>
</tr>
</thead>
<tbody>
<tr>
<td>On campus</td>
<td>54%</td>
<td>On campus 54%</td>
<td>On campus 54.0%</td>
<td>On campus 54.0%</td>
</tr>
<tr>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td>Off campus 27.0%</td>
<td></td>
</tr>
</tbody>
</table>

The off-campus rate applies when the preponderance of the effort (e.g., more than 50%) occurs off-campus.
F & A COST RATE
The following are typical exclusions from F & A (indirect) cost charges:
* Equipment with a useful life of more than one year and a unit cost of more than $1,000.
* The amount of each subcontract above $25,000 (F&A cost applied to the first $25,000 for each project period).
* Hospitalization (patient care costs, both in patient and out-patient).
* Tuition charges from the University of Rochester.
* Renovation charges.
* Fully-costed machine shop charges.
* Rental costs of off-site facilities.

Other Sponsored Activities rate: 7/1/19-6/30/23 On Campus = 35%; Off Campus = 25%

New Industry Sponsored Clinical Trials Effective 7/1/21 = 33%; Effective 7/1/22 = 35%
The following are typical exclusions from F & A (indirect) cost charges:
* IRB Fees/

DHHS Cognizant Auditor Address and Defense Contract Audit Agency:
Darryl W. Mayes, Deputy Director
DHHS, Cost Allocation Services
330 Independence Avenue, S.W.
Washington, DC 20201, Telephone Number (202-401-2808)

ORPA Address:
University of Rochester
Office of Research and Project Administration
518 Hylan Building, RC Box 270140
Rochester, NY 14627
585 275-4031 FAX: 585 275-9492