

**PROPOSAL INFORMATION**

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|------------------------|---|
| <b><u>GENERAL:</u></b> | Tax ID No. (Entity Identification Number) 16-0743209<br>U.S. Congressional District 25th, New York<br>N.Y. Senatorial District (RC) 55 <sup>th</sup> (MC) 56th<br>N.Y. Assembly District 131st<br>N.Y. State Charitable Organization Registration # 11-41-70<br>N.Y. State DOH Animal Care & Use Certificate # A-050<br>NYS Vendor ID number 1000007489<br>U of R Dun & Bradstreet No. (DUNS) 041294109<br>(DUNS+4: 041294109-8221)<br>Contractor Establishment Code 89-442-758C<br>NRC # 436<br>AAALAC Approval 04/18/66<br>EEO 08/26/92<br>Federal Interagency Committee on Education (FICE) 002894<br>Affirmative Action 10/01/88<br>SIC (Standard Industry Codes) 8221<br>NAICS Code (colleges) 611310<br>R&D 541710<br>University Wire Transfer Information : as of 2/13/07<br>Payee: University of Rochester<br>Payee Address: Research Accounting and Costing Standards<br>Brooks Landing Business Center<br>910 Genesee Street, Suite 200<br>Rochester, NY 14611-3847<br><br>Payee Contact: Lisa Julian<br>Phone 585 275-6454<br>Fax 585 271-7933<br><br>Bank Name: JP Morgan Chase<br>Bank Address: 4 NY Plaza, 15 <sup>th</sup> Floor<br>New York, New York 10004<br><br>ACH Phone Contact: 800-447-3593<br>Routing Transit Number (ABA): Contact ORPA<br>Bank Account Number Contact ORPA<br>Type of Account: Checking |
| <b><u>DHHS:</u></b>    | Public Health Service (PHS) Entity No. 1160743209A1<br>NIH Institutional Profile File No. 7047101<br>Human Subjects General Assurance No. FW A000000 9386<br>Institutional Assurance Date 9/27/10<br>Project Date Is project specific<br>Biosafety Committee (formerly Biohazards) Filed 04/12/79<br>Laboratory Animal Assurance No. D16-00188 (A329201) (reference both numbers)<br>Institutional Assurance Date 5/14/87<br>Misconduct in Science 7047101, 12/06/89 (as amended)<br>Organizational Component Code SMD-01, SON-09, Other-20   |
| <b><u>DOD:</u></b>     | Office of Naval Research Basic Agreement No. N00014-79-H-0145<br>Disclosure Statement required by CASB 3/14/97 submitted to ONR<br>DoD Activity Code ("Cage") Primary-03CZ7<br>For DoD Form 1419, UR Code N91782  |
| <b><u>DOE:</u></b>     | Basic Research No. 81.049   |
| <b><u>EU:</u></b>      | PIC Code 999867862  |
| <b><u>NSF:</u></b>     | Submitting Institution Code 0028944000  |

**F & A COST RATE (7/1/15-until amended)**

**Federal (Date of Agreement – 3/29/2017 DHHS)**

| <u>7/1/15-6/30/16</u> | <u>7/1/16-6/30/17</u> | <u>7/1/17-6/30/18</u> | <u>7/1/19- amended</u> |
|-----------------------|-----------------------|-----------------------|------------------------|
| On campus 53.5%       | On campus 53.5%       | On campus 54.0%       | On campus 54.0%        |
| Off campus 27.0%      | Off campus 27.0%      | Off campus 27.0%      | Off campus 27.0%       |

The off-campus rate applies when the preponderance of the effort (e.g., more than 50%) occurs off-campus.

**F & A COST RATE**

The following are typical exclusions from F & A (indirect) cost charges:

- \* Equipment with a useful life of more than one year and a unit cost of more than \$1,000.
- \* The amount of each subcontract above \$25,000 (F&A cost applied to the first \$25,000 for each project period).
- \* Hospitalization (patient care costs, both in patient and out patient).
- \* Tuition charges from the University of Rochester.
- \* Renovation charges.
- \* Fully-costed machine shop charges.
- \* Rental costs of off-site facilities

**Industry Sponsored Clinical Trials 30%**

The following are typical exclusions from F & A (indirect) cost charges:

- \*IRB Fees/

**DHHS Cognizant Auditor Address and Defense Contract Audit Agency:**

Darryl W. Mayes, Deputy Director  
DHHS, Cost Allocation Services  
330 Independence Avenue, S.W.  
Washington, DC 20201, Telephone Number (202-401-2808

**ORPA Address:**

University of Rochester  
Office of Research and Project Administration  
518 Hylan Building, RC Box 270140  
Rochester, NY 14627  
585 275-4031 FAX: 585 275-9492