Logo 2

*Accelerating commercialization*

TDF Pre-Proposal

Applicant Checklist

Please be sure you can answer “yes” to all of the following questions prior to submitting your application.

1. Have you either filed an Invention Disclosure with the Office of Technology Transfer related to the subject technology; or are you filing one simultaneously with this application?
2. Have you completed a preliminary budget for your program that requests an award of $100,000, or less?
3. Have you confirmed the Intellectual Property associated with your application is NOT encumbered by any obligation (license, option, first right of refusal, first look, etc.) to a for-profit entity?
4. Have you read the following and understand that acceptance of this Award requires all inventors sign a Royalty Distribution Agreement that includes this clause?

*Royalty Sharing: In order to ensure that the Fund is evergreen, projects will be required to share revenue on Intellectual Property created with the grant. Twenty-five percent (25%) of all revenues, net of patent and legal fees, received by the University from the licensing of the technology will be paid into the Fund until three times the amount of the grant has been repaid. This payment will be considered an out-of-pocket cost of the Intellectual Property and will be applied against the incoming revenues in the same manner as are patent and legal fees under current University Policy. Seventy-five percent (75%) of all revenue, net patent and legal fees will be distributed according to the present University Policy then it reverts back to one hundred percent (100%) when this obligation is fulfilled.*





TDF Pre-Proposal

Cover Sheet

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| **Project Title:**  **Funds Requested ($):**  **Principal Investigator:** |

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| **PI Contact Details**  Title:  Department:  Business Phone:  Secondary Phone:  Email:  Business Address:  City: State: Zip:  Home Address:  City: State: Zip: |

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| --- |
| **Intellectual Property**  URV Reference Number:  Licensing Manager: |

|  |
| --- |
| **Please submit completed document to Omar Bakht**  [Omar\_Bakht@urmc.rochester.edu](mailto:Omar_Bakht@urmc.rochester.edu) |

I. Background

Briefly describe your recent research, the novelty, risks and the potential benefits of the project. How is this innovation “disruptive”? Are there competitors in the field? What is the estimated market potential?

II. Proposed Research Plan

Outline the goals and objectives for your project, as well as the amount of award requested. Include a short list of budget items for which this funding will be used. What is your strategy for post-project commercialization?

1. **Important: Briefly discuss your post project plan, next steps after the TDF study, and the estimated resources it might take to either obtain additional developmental funding or launch your product into the marketplace.**

III. Investigator and other Information

List all principals involved and your collaborators, sources of current funding, and whether you require resources outside the university.

IV. References (1 to 5)

List relevant references (up to 5) including Invention Disclosure information.